



# Orange County Mosquito and Vector Control District

## Serving Orange County Since 1947

**EXECUTIVE COMMITTEE JANUARY 16, 2024 AT 12:00 PM**  
**BUDGET & FINANCE COMMITTEE JANUARY 18, 2024 AT 2:00 PM**

**NOTICE AND AGENDA  
 OF THE REGULAR MEETING OF THE BOARD OF TRUSTEES  
 THURSDAY JANUARY 18, 2024  
 921<sup>st</sup> REGULAR MEETING 3:00 P.M.  
 13001 GARDEN GROVE BLVD.  
 GARDEN GROVE, CA 92843  
 WEBSITE ADDRESS: [www.ocvector.org](http://www.ocvector.org)  
REGULAR MEETING 3:00 P.M.**

**A. PLEDGE OF ALLEGIANCE AND ROLL CALL**

1. Call business meeting to order 3:00 p.m.
2. Pledge of Allegiance
3. Roll Call - (If absences occur, consider whether to deem those absences excused based on facts presented for the absence — such determination shall be the permission required by law.)

PRESIDENT:	Peggy Huang	Yorba Linda
VICE-PRESIDENT:	Craig Green	Placentia
SECRETARY:	Cecilia Hupp	Brea

Aliso Viejo	Richard Hurt	Lake Forest	Robert Pequeño
Anaheim	Carlos Leon	Los Alamitos	Tanya Doby
Brea	Cecilia Hupp	Mission Viejo	Bob Ruesch
Buena Park	Joyce Ahn	Newport Beach	Erik Weigand
Costa Mesa	William Turpit	Orange	Jon Dumitru
Cypress	Bonnie Peat	Placentia	Craig Green
Dana Point	John Gabbard	Rancho Santa Margarita	April Josephson
Fountain Valley	Kim Constantine	San Clemente	Steve Knoblock
Fullerton	Fred Jung	San Juan Capistrano	John Taylor
Garden Grove	Stephanie Klopfenstein	Santa Ana	Nelida Mendoza
Huntington Beach	Pat Burns	Seal Beach	Nathan Steele
Irvine	Tammy Kim	Stanton	Gary Taylor
La Habra	James Gomez	Tustin	Rebecca Gomez
La Palma	Debbie S. Baker	Villa Park	Crystal Miles
Laguna Beach	Mark Orgill	Westminster	Amy Phan West
Laguna Hills	Erica Pezold	Yorba Linda	Peggy Huang
Laguna Niguel	Gene Johns	County of Orange	Elizabeth Guillen-Merchant
Laguna Woods	Shari Horne		

4. Election of Officers of 2024 Executive Board: The Officers of the Board are President, Vice President, and Secretary. Board Officers are elected annually at the January meeting. Nominations are to be made from the floor. In accordance with Rosenberg's Rules of Order, nominations are closed upon a 2/3 vote.

**B. PUBLIC COMMENTS:**

**(Individual Public Comments may be limited to a 3-minute or less time limit)**

During Public Comments, the public may address the Board on any issue within the District's jurisdiction which is not on the agenda. The public may comment on any item on the agenda at the time that item is before the Board for consideration. Any person wishing to speak must come up and speak from the lectern. There will be no dialog between the Board and the commenter. Any clarifying questions from the Board must go through the Board President.

**C. PRESENTATIONS:**

1. Recognize Outgoing President
2. Recognize Outgoing Trustees: Kring, Posey

**D. OCMVCD COMMITTEE REPORTS TO THE BOARD OF TRUSTEES:**

1. Executive Committee
2. Budget and Finance Committee

**E. CLOSED SESSION/OPEN SESSION**

1. Adjourn to Closed Session:
  - a. Government Code Section: 54957 (performance evaluation) Position: District Manager
  - b. Government Code Section 54957.8 (conference with labor negotiator)  
Agency representative: Board President  
Unrepresented employee: District Manager
2. Reconvene to Open Session: Report of any required disclosures of action in closed session

**F. CONSENT CALENDAR:**

All matters listed under the CONSENT CALENDAR are considered by the District to be routine and will be enacted by one motion. Any member of the Board may pull an item from the Consent Calendar for additional clarification or action.

1. Approve the DRAFT Minutes for the regular meeting on December 21, 2023
2. Approve Warrant Register for November 2023 (Exhibit A)
3. Approve Monthly Financial Report for November 2023 (Exhibit A)
4. Adopt Resolution No. 569 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Works (Exhibit A)

**G. BUSINESS ITEMS: NONE**

**H. INFORMATIONAL ITEMS ONLY: (NO ACTION NECESSARY)**

1. Staff Presentation: Staff will give an update on vector activity in Orange County
2. Staff Presentation: Staff will give an update on operations activity in Orange County
3. Staff Presentation: Staff will give an update on outreach activity in Orange County
4. Receive and File Trustee Terms of Office to Expire on January 1, 2024 at 11:59 AM
5. Board of Trustee Committee Assignments for 2024 (Exhibit A, B)
6. Receive and File 2023 Trustee Attendance Report (Exhibit A)
7. Receive and File Laboratory Reports – Included in agenda packet

**I. PRESIDENT'S REPORT AND TRUSTEE COMMENTS:**

**J. DISTRICT MANAGER REPORT: Discussion and Possible Action**

**K. DISTRICT LEGAL COUNSEL REPORT: Discussion and Possible Action**

**L. CORRESPONDENCE: Discussion and Possible Action NONE**

**M. FUTURE AGENDA ITEMS:**

**N. ADJOURNMENT:**

1. Adjourn to the next regular meeting on February 15, 2024 starting at 3:00 p.m. at the Orange County Mosquito and Vector Control District offices, 13001 Garden Grove Blvd., Garden Grove, CA 92843.



# EXECUTIVE COMMITTEE MEETING NOTICE JANUARY 16, 2024 12:00 PM

TO: Executive Committee  
Peggy Huang, President  
Craig Green, Vice President  
Cecilia Hupp, Secretary  
James Gomez, Past President

Yorba Linda  
Placentia  
Brea  
La Habra

FROM: Tawnia Pett, Executive Assistant/Clerk of the Board

RE: Executive Committee Meeting:  
Tuesday, January 16, 2024, 12:00 PM  
[www.ocvector.org](http://www.ocvector.org)

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## AGENDA

1. Call Meeting to Order and Roll Call
  2. Public Comments
  3. Adjourn to Closed Session:
    - a. Government Code Section: 54957 (performance evaluation):  
Position: District Manager
    - b. Government Code Section 54957.8 (conference with labor negotiator)  
Agency representative: Board President  
Unrepresented employee: District Manager
  4. Reconvene to Open Session: Report of any required disclosures of action in closed session
  5. Adjourn
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**BUDGET AND FINANCE COMMITTEE  
MEETING NOTICE  
JANUARY 18, 2024  
2:00 P.M.**

TO: Budget and Finance Committee

Richard Hurt	Aliso Viejo
Carlos Leon	Anaheim
Cecilia Hupp	Brea
Joyce Ahn	Buena Park
Fred Jung	Fullerton
Pat Burns	Huntington Beach
Bob Ruesch	Mission Viejo
Gary Taylor (Chair)	Stanton
Crystal Miles	Villa Park

FROM: Tawnia Pett, Executive Assistant/Clerk of the Board

RE: Budget and Finance Committee Meeting:  
2:00 p.m., Thursday, January 18, 2024  
[www.ocvector.org](http://www.ocvector.org)

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**AGENDA**

1. Call Meeting to Order and Roll Call
2. Public Comments
3. Approve minutes from May 18, 2023
4. Presentation on Current Status of PARS
5. Discuss District Benefit Assessments
6. Adjourn

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## MINUTES OF BUDGET AND FINANCE COMMITTEE MEETING

### Orange County Mosquito and Vector Control District

TIME: 2:00 P.M., May 18, 2023

PLACE: Orange County Mosquito and Vector Control District

#### Budget and Finance Committee Members Present:

Lucille Kring	Anaheim
Cecilia Hupp	Brea
Joyce Ahn	Buena Park
Mike Posey	Huntington Beach
Bob Ruesch	Mission Viejo
Gary Taylor (Chair)	Stanton
Crystal Miles	Villa Park

#### Budget and Finance Committee Members Absent:

Richard Hurt	Aliso Viejo
Fred Jung	Fullerton

#### Others Present:

Lora Young	District Manager
Tan Nguyen	Finance Manager
Daniel Medina	Accounting Specialist
Chris Coulter	SCI Senior Consultant

The meeting was called to order at 2:03 P.M.

1. **Call the Meeting to Order and Roll Call:** Seven members of the committee were present out of nine members.
2. **Public Comments:** None
3. **Approved minutes of April 20, 2023:** On motion by Trustee Ruesch, seconded by Trustee Kring, and passed by unanimous vote, the committee approved the minutes of the April 20, 2023 committee meeting. Trustee Hupp and Trustee Miles abstain.
4. **Discuss Proposed District Budget for 2023-24 and 24-25 (Agenda Item G.1):** On motion from Trustee Kring, seconded by Trustee Posey, and approved by unanimous vote, the committee recommended approving the Proposed District Budget to the full board of trustees.
5. **Discuss District Benefit Assessment 1 and Assessment 2 (Agenda Item G.2):** On motion from Trustee Kring, seconded by Trustee Hupp, and approved by unanimous vote, the committee recommended approving the District Benefit Assessment 1 and Assessment 2 to the full board of trustees.
6. **Adjourned:** Meeting adjourned at 2:14 P.M.

**MINUTES OF THE 920<sup>th</sup> MEETING**

**BOARD OF TRUSTEES  
Orange County Mosquito and Vector Control District**

TIME: 3:00 P.M. December 21, 2023

PLACE: 13001 Garden Grove Blvd., Garden Grove, CA 92843

PRESIDENT:	Peggy Huang	Yorba Linda
VICE PRESIDENT:	Craig Green	Placentia
SECRETARY:	Cecilia Hupp	Brea

**TRUSTEES PRESENT:**

Aliso Viejo	Richard Hurt	Los Alamitos	Tanya Doby
Anaheim	Lucille Kring	Mission Viejo	Bob Ruesch
Buena Park	Joyce Ahn	Newport Beach	Erik Weigand
Costa Mesa	Bill Turpit	Orange	Jon Dumitru
Cypress	Bonnie Peat	Placentia	Craig Green
Fountain Valley	Kim Constantine	Rancho Santa Margarita	April Josephson
Fullerton	Fred Jung	San Clemente	Steve Knoblock
Huntington Beach	Mike Posey	San Juan Capistrano	John Taylor
Irvine	Tammy Kim	Santa Ana	Nelida Mendoza
La Palma	Debbie S. Baker	Seal Beach	Nathan Steele
Laguna Beach	Mark Orgill	Stanton	Gary Taylor
Laguna Niguel	Gene Johns	Tustin	Rebecca Gomez
Laguna Woods	Shari Horne	Villa Park	Crystal Miles
Lake Forest	Robert Pequeño	Yorba Linda	Peggy Huang

**TRUSTEES ABSENT:**

Brea	Cecilia Hupp	Laguna Hills	Erica Pezold
Dana Point	John Gabbard	Westminster	Amy Phan West
Garden Grove	Stephanie Klopfenstein	County of Orange	Liz Guillen-Merchant
La Habra	James Gomez		

Trustees Hupp, Gabbard, Klopfenstein, J. Gomez, and West had an excused absence.

**OTHERS PRESENT:**

Lora Young, District Manager  
Amber Semrow, Director of Scientific Technical Services  
Steve Shepherd, Director of Operations  
Tawnia Pett, Executive Assistant/Clerk of the Board  
Alan Burns, District Counsel

**A. Opening:**

1. **Call the Business Meeting to Order:** Vice President Green called the meeting to order at 3:01 P.M.
2. **Pledge of Allegiance:** Vice President Green asked Trustee Posey to lead the Pledge of Allegiance.
3. **Roll Call:** Twenty-eight Trustees were present out of the current Board membership of 35.

**B. Public Comments: None****C. Presentations:**

1. Staff gave a presentation entitled "Orange County Mosquito and Vector Control District Cyber Security Preparedness and Resilience"

**D. OCMVCD Committee Reports to the Board of Trustees:**

1. Joint Committee Meeting: Budget & Finance and Building, Property, & Equipment Committees: Budget & Finance Committee Chair G. Taylor reported that the joint committee liked the property, and the next step is to see if the departments will fit into the property and if it will work efficiently.

**E. Closed Session/Open Session:**

1. Closed Session: Pursuant to Government Code Section 54957, (Performance Evaluation), President Huang called for closed session at 3:30 PM
2. Open Session: Open Session resumed at 3:51 PM. District Counsel Burns reported that there was no reportable action.

**F. Consent Calendar: Items for Approval by General Consent:**

On motion from Trustee Kring, seconded by Trustee Posey, and approved by unanimous vote, the Board of Trustees approved Consent Calendar Items E.2 through E.4 Agenda Item E.1 was passed by majority vote due to absences at the previous Board Meeting (Abstained: Trustees Hurt, Peat, Constantine, Jung, Kim, Baker, Dumitru, Josephson, and Steele).

Ayes: Trustees Hurt, Kring, Ahn, Turpit, Peat, Constantine, Jung, Posey, Kim, Baker, Orgill, Johns, Horne, Pequeño, Doby, Ruesch, Weigand, Dumitru, Green, Josephson, Knoblock, J. Taylor, Mendoza, Steele, G. Taylor, R. Gomez, Miles, and Huang.

Noes: None.

Abstained: None.

Absent: Trustees Hupp, Gabbard, Klopfenstein, J. Gomez, Pezold, West, and Guillen-Merchant.

1. **Approval of Minutes:** Approved, without reading, the minutes of 919<sup>th</sup> Meeting of the Board of Trustees held November 16, 2023.
2. **Approved Warrant Register for October 2023: (Exhibit A)** Received and filed.
3. **Approved Monthly Financial Report for October 2023: (Exhibit A)** Received and filed.
4. **Adopted Resolution No. 568 Updating the Orange County Mosquito and Vector Control District Mission Statement and Values: (Exhibit A, B)**

G. **Business Items: None**

H. **Informational Items Only (No Action Necessary):**

1. **Staff Presentation:** Director of Scientific Technical Services Semrow gave an update of vector activity in Orange County.
2. **Staff Presentation:** District Manager Young gave an update of outreach activity in Orange County.
3. **Staff Presentation:** Director of Operations Shepherd gave an update of operations activity in Orange County.
4. **Trustee Terms of Office to Expire on January 1, 2024 at 11:59 AM**
5. **Recognized District Employees Years of Service**
6. **Received and Filed Laboratory Reports – Included in agenda packet**

I. **President's Report and Trustee Comments: None**

J. **District Manager's Report:** District Manager Young reported:

1. The District is having a trustee open house before the February board meeting.
2. The District will be closed December 26.

K. **District Counsel Report:** District Counsel Burns reported:

1. The District had a forced entry warrant executed in the city of Fountain Valley.

L. **Correspondence:**

1. Staff reports from conferences attended in November and December 2023.

M. **Future Agenda Items: None**

N. **Adjournment:**

1. President Huang adjourned the meeting at 4:10 P.M. to a regular meeting on Thursday, January 18, 2024.

I certify that the above minutes substantially reflect the actions taken by the Board of Trustees at its meeting held December 21, 2023.



\_\_\_\_\_  
Lora B. Young, District Manager

Approved as written and/or corrected by the Board of Trustees at its 921st

Nine hundred and twenty-first meeting held January 18, 2024

ATTEST: \_\_\_\_\_  
\_\_\_\_\_, Secretary



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 18, 2024

## AGENDA REPORT

### AGENDA ITEM F.2

**Prepared By:** Tan Nguyen, Finance Manager  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Approve Warrant Register for November 2023

#### Recommended Action:

Receive and file.

#### Executive Summary:

Receive and file payment of November warrant register dated January 18, 2024, in the amount of \$769,444.62 as presented by in-house check runs dated November 2, 9, 10, 16, 22, 28, 29, and 30, 2023.

#### Strategic Plan Compliance:

Complies with PRIORITY AREA 11: Fiscal Responsibility/Financial, GOAL 11.1: Conduct the Business and Operational Functions of the District in the most cost efficient and effective manner that prioritizes both fiscal and fiduciary responsibility to the residents of Orange County by ensuring a robust system of financial checks and balances are in place.

#### Fiscal Impact:

**What Amount is being requested?** N/A

**Is the Amount Requested Budgeted in the Current Fiscal Year?**

**If No, What Funds Are Requested?**

#### Previous Relevant Board Actions for This Item:

#### Exhibits:

**Exhibit A:** November 2023 Warrant Report

# ORANGE COUNTY MOSQUITO & VECTOR CONTROL DISTRICT

REGISTER OF DEMANDS January 18, 2024

## ACCOUNTS PAYABLE REGISTER

<b>ORANGE COUNTY MOSQUITO &amp; VECTOR CONTROL DISTRICT</b>		
REGISTER OF DEMANDS - AP REGISTER 01/18/24		
AP/Check Run	11/2/2023	106,378.45
AP/Check Run	11/9/2023	91,093.69
AP/Check Run	11/16/2023	65,076.77
AP/Check Run	11/22/2023	116,861.50
AP/Check Run	11/28/2023	10,535.53
AP/Check Run	11/29/2023	102,155.24
AP/Check Run	11/30/2023	11,026.32
P/R EEASSOC	11/9/2023	735.00
P/R ICMA	11/9/2023	25,304.91
P/R USB	11/9/2023	2,565.84
P/R CalPERS1	11/10/2023	45,890.72
P/R EDD	11/10/2023	12,862.95
P/R IRS	11/10/2023	40,138.95
P/R NATION	11/10/2023	4,855.00
P/R TASC	11/10/2023	1,964.88
P/R CalPERS1	11/16/2023	1.86
P/R TASC	11/16/2023	411.50
P/R CalPERS1	11/22/2023	45,453.11
P/R EDD	11/22/2023	12,554.45
P/R EEASSOC	11/22/2023	750.00
P/R ICMA	11/22/2023	25,304.91
P/R IRS	11/22/2023	39,137.53
P/R NATION	11/22/2023	4,855.00
P/R TASC	11/22/2023	1,964.88
P/R USB	11/22/2023	1,565.63
<b>Total</b>		<b>769,444.62</b>

I hereby certify that the claims or demands covered by the foregoing listed warrants have been audited as to the accuracy and availability of funds for payment thereof. Subscribed and sworn on this 18<sup>th</sup> day of January 2024.

  
 \_\_\_\_\_  
 Lora Young, District Manager

  
 \_\_\_\_\_  
 Tan Nguyen, Finance Manager



# Accounts Payable

## Checks by Date - Summary by Check Date

User: dmedina@ocvector.org  
 Printed: 12/19/2023 9:31 AM



Check No	Vendor No	Vendor Name	Check Date	Check Amount
ACH	SPBRK	Springbrook Software Springbrook Holding	11/02/2023	45,988.96
ACH	HEARST C	Cory Hearst	11/02/2023	93.13
28039	AQUATIC	Pentair Aquatic Eco-Systems Inc.	11/02/2023	1,146.14
28040	AT T FAX	AT&T	11/02/2023	371.86
28041	ATT2	AT&T	11/02/2023	320.72
28042	AUTO Z	Auto Zone, Inc.	11/02/2023	1,733.79
28043	AUTOCK	Auto Chek Centers, Inc.	11/02/2023	39.95
28044	BLACKHL	BLACKHOLE TECHNOLOGIES INC	11/02/2023	50.00
28045	CINTAS	Cintas Corporation No. 2	11/02/2023	4,820.47
28046	CLARKE	Clarke Mosquito Control Prod Inc.	11/02/2023	660.78
28047	CROWN	Crown Maintenance	11/02/2023	249.00
28048	DOORKING	DOORKING INC	11/02/2023	43.95
28049	DuBose	Michael DuBose	11/02/2023	186.00
28050	FISHER	Fisher Scientific	11/02/2023	325.47
28051	GONZAL B	BEATRIZ GONZALEZ	11/02/2023	157.13
28052	HASFIN	Quadient Finance USA, Inc	11/02/2023	15.00
28053	HILLS	Hill's Bros. Lock & Safe, Inc.	11/02/2023	122.70
28054	JENADES	JENADES ELECTRICAL INC	11/02/2023	7,442.00
28055	JUST	JUST TIRES	11/02/2023	2,065.61
28056	KWEST	K'WEST Printing	11/02/2023	230.55
28057	LEADING	Leading Edge Associates, Inc.	11/02/2023	495.00
28058	LIEBERT	Liebert Cassidy Whitmore	11/02/2023	2,940.50
28059	LIFE	Life Technologies	11/02/2023	7,585.56
28060	LIFTECH	Michael Patrick Vane	11/02/2023	600.00
28061	PUREAIR	THANH NGUYEN	11/02/2023	17,447.00
28062	QDOXS	Q Document Solutions, Inc,	11/02/2023	173.22
28063	SCE	Southern Calif. Edison	11/02/2023	7,840.05
28064	SIGMA	Sigma-Aldrich, Inc.	11/02/2023	63.93
28065	STAPLES	Staples Business Advantage	11/02/2023	819.98
28066	WALKING	The Walking Man, Inc.	11/02/2023	2,350.00
Total for 11/2/2023:				106,378.45
ACH	EEASSOC	OCVCD Employee Association	11/09/2023	735.00
ACH	ICMA	MissionSquare Retirement (ICMA)	11/09/2023	25,304.91
ACH	USB	PARS/U.S. Bank N.A. Minnesota	11/09/2023	2,565.84
ACH	ADAPCO	ADAPCO, INC.	11/09/2023	12,171.44
ACH	FLEETIO	RARESTEP, INC.	11/09/2023	6,720.00
ACH	GENEWIZ	Azenta US, Inc.	11/09/2023	212.00
ACH	GG DISP	Republic Waste Svcs of So. Calif., LLC	11/09/2023	1,965.24
ACH	GRAINGER	Grainger	11/09/2023	39.87
ACH	LINDE	LINDE GAS & EQUIPMENT INC.	11/09/2023	4,242.76
ACH	Steri	STERICYCLE	11/09/2023	2,100.02
ACH	TARGET	Target Specialty Products	11/09/2023	29,452.61
ACH	ULINE	Uline Shipping Supply Specialists	11/09/2023	257.09
ACH	VERIZON	Verizon Wireless Services LLC	11/09/2023	6,191.61
28067	ASBURY	World Oil Environmental Services	11/09/2023	100.00

Check No	Vendor No	Vendor Name	Check Date	Check Amount
28068	AUTOCK	Auto Chek Centers, Inc.	11/09/2023	199.75
28069	BUI DAN	Danny Bui	11/09/2023	150.58
28070	CINTAS	Cintas Corporation No. 2	11/09/2023	1,340.77
28071	CLARKE	Clarke Mosquito Control Prod Inc.	11/09/2023	1,783.21
28072	ESA	Entomological Society of America	11/09/2023	276.00
28073	GFS	Governmental Financial Services	11/09/2023	1,820.00
28074	GG WATER	City of Garden Grove	11/09/2023	520.29
28075	HARPER	Harper & Burns, LLP	11/09/2023	4,040.56
28076	KWEST	K'WEST Printing	11/09/2023	3,371.25
28077	LIFE	Life Technologies	11/09/2023	691.44
28078	MARTIN D	Daniel Martinez	11/09/2023	1,150.00
28079	MEDINA D	DANIEL MEDINA	11/09/2023	1,221.07
28080	NGUYEN T	TAN NGUYEN	11/09/2023	1,138.16
28081	SIGMA	Sigma-Aldrich, Inc.	11/09/2023	109.34
28082	SMART	Smart & Final Stores Corp	11/09/2023	375.29
28083	SPARK	Sparkletts	11/09/2023	1,069.01
28084	STAPLES	Staples Business Advantage	11/09/2023	337.11
28085	UNIVAR	Veseris ES OPCO USA LLC	11/09/2023	6,878.92
28086	VERA	SANDRA VERA	11/09/2023	129.00
28087	WESTCOAS	RJ International	11/09/2023	1,039.30
Total for 11/9/2023:				119,699.44
ACH	CalPERS1	CalPERS	11/10/2023	45,890.72
ACH	EDD	Employment Development Dept.	11/10/2023	12,862.95
ACH	IRS	Internal Revenue Service	11/10/2023	40,138.95
ACH	NATION	Nationwide Retirement Solutions	11/10/2023	4,855.00
ACH	TASC	Total Administrative Services Corp.	11/10/2023	1,964.88
Total for 11/10/2023:				105,712.50
ACH	ARCO	ARCO Business Solutions	11/16/2023	25,657.47
ACH	CalPERS1	CalPERS	11/16/2023	1.86
ACH	OC TOLL	OC Toll Roads	11/16/2023	444.00
ACH	TASC	Total Administrative Services Corp.	11/16/2023	411.50
ACH	ADAPCO	ADAPCO, INC.	11/16/2023	24,092.99
ACH	AURORA	AURORA AUDIO	11/16/2023	599.00
ACH	FEDEX	Federal Express Corp.	11/16/2023	228.14
ACH	SPECTRUM	Charter Communications	11/16/2023	1,494.00
ACH	TARGET	Target Specialty Products	11/16/2023	1,340.71
ACH	Delira	Simon De Lira	11/16/2023	200.00
28088	AMAZON	AMAZON CAPITAL SERVICES	11/16/2023	3,958.25
28089	AT&T	AT&T	11/16/2023	64.23
28090	CDPH CE	Vector-Borne Disease - Account	11/16/2023	173.00
28091	HOME DEP	Home Depot Credit Services	11/16/2023	352.93
28092	KNOWBE4	KnowBe4, Inc.	11/16/2023	1,980.00
28093	NUTWOOD	NUTWOOD STREET BAPTIST CHURCH	11/16/2023	300.00
28094	PASSPORT	Passport Health Los Angeles	11/16/2023	859.00
28095	PINK	PINK CREATIONS INC.	11/16/2023	234.90
28096	SCG	Southern Calif. Gas Co.	11/16/2023	23.15
28097	WALKING	The Walking Man, Inc.	11/16/2023	3,075.00
Total for 11/16/2023:				65,490.13
ACH	EEASSOC	OCVCD Employee Association	11/22/2023	750.00
ACH	ICMA	MissionSquare Retirement (ICMA)	11/22/2023	25,304.91

Check No	Vendor No	Vendor Name	Check Date	Check Amount
ACH	USB	PARS/U.S. Bank N.A. Minnesota	11/22/2023	1,565.63
ACH	CalPERS1	CalPERS	11/22/2023	45,453.11
ACH	EDD	Employment Development Dept.	11/22/2023	12,554.45
ACH	IRS	Internal Revenue Service	11/22/2023	39,137.53
ACH	NATION	Nationwide Retirement Solutions	11/22/2023	4,855.00
ACH	TASC	Total Administrative Services Corp.	11/22/2023	1,964.88
ACH	LINDE	LINDE GAS & EQUIPMENT INC.	11/22/2023	4,083.69
ACH	TARGET	Target Specialty Products	11/22/2023	10,995.29
ACH	FM	CARDMEMBER SERVICE	11/22/2023	8,194.91
28098	AUTO Z	Auto Zone, Inc.	11/22/2023	2,554.46
28099	CLARKE	Clarke Mosquito Control Prod Inc.	11/22/2023	26,317.50
28100	DOORKING	DOORKING INC	11/22/2023	43.95
28101	ESA	Entomological Society of America	11/22/2023	444.00
28102	GORDY	Ted Jones Ford, Inc	11/22/2023	48,070.82
28103	HASFIN	Quadient Finance USA, Inc	11/22/2023	300.00
28104	HILLS	Hill's Bros. Lock & Safe, Inc.	11/22/2023	129.00
28105	KWEST	K'WEST Printing	11/22/2023	3,784.50
28106	LIEBERT	Liebert Cassidy Whitmore	11/22/2023	1,261.50
28107	LIFE	Life Technologies	11/22/2023	6,978.87
28108	MUDANNA'	PUBUDU MUDANNAYAK	11/22/2023	132.70
28109	PARS	Public Agency Retirement Svcs	11/22/2023	300.00
28110	SCG	Southern Calif. Gas Co.	11/22/2023	14.79
28111	Sea Life	Sea Life Designs, Inc.	11/22/2023	280.74
28112	VERITIV	VERITIV OPERATING COMPANY	11/22/2023	8.16
28113	WALKING	The Walking Man, Inc.	11/22/2023	2,350.00
28114	XEROX	Xerox Financial Services	11/22/2023	616.62
Total for 11/22/2023:				248,447.01
ACH	AHNJ	JOYCE AHN	11/28/2023	100.00
ACH	DOBYT	Tanya Doby	11/28/2023	100.00
ACH	GABBARDJ	JOHN GABBARD	11/28/2023	100.00
ACH	GOMEZ	James Gomez	11/28/2023	100.00
ACH	GOMEZR	REBECCA GOMEZ	11/28/2023	100.00
ACH	GREEN C	Craig S. Green	11/28/2023	100.00
ACH	HORNE	Shari Lucas Horne	11/28/2023	100.00
ACH	HUANG	Peggy Huang	11/28/2023	100.00
ACH	HUPP	Cecilia T. Hupp	11/28/2023	100.00
ACH	JOHNS	FOSTER JOHNS	11/28/2023	100.00
ACH	KLOPFEN	Stephanie L. Klopfenstein	11/28/2023	100.00
ACH	KNOBLOCK	Steven Knoblock	11/28/2023	100.00
ACH	KRING	Lucille Kring	11/28/2023	100.00
ACH	MENDOZAN	NELIDA MENDOZA	11/28/2023	100.00
ACH	MILESC	Crystal Miles	11/28/2023	100.00
ACH	ORGILLM	MARK ORGILL	11/28/2023	100.00
ACH	PEQUENOR	ROBERT PEQUENO	11/28/2023	100.00
ACH	PEZOLDE	Erica Pezold	11/28/2023	100.00
ACH	RUESCH	Robert J. Ruesch	11/28/2023	100.00
ACH	TAYLORG	Gary Taylor	11/28/2023	100.00
ACH	TURPIT	WILLIAM TURPIT	11/28/2023	100.00
ACH	WEIGAND	ERIK WEIGAND	11/28/2023	100.00
ACH	ARIASA	Adina Arias	11/28/2023	234.05
ACH	BENNETT	Stephen Bennett	11/28/2023	234.05
ACH	BLAYLOCK	Viki Blaylock	11/28/2023	234.05
ACH	BOBBITT	Catherine Bobbitt	11/28/2023	234.05
ACH	CAMPBELL	JAMES CAMPBELL	11/28/2023	116.50
ACH	Cavileer	Raymond Cavileer	11/28/2023	234.05

Check No	Vendor No	Vendor Name	Check Date	Check Amount
ACH	CUMMINGS	Robert F. Cummings	11/28/2023	234.05
ACH	DAIKER	John Daiker	11/28/2023	260.80
ACH	EDISON	SUZANNE R. EDISON	11/28/2023	329.80
ACH	EVER	SANDRA EVERINGHAM	11/28/2023	104.90
ACH	FOGARTY	Carrie Fogarty	11/28/2023	234.05
ACH	Goedhart	Gerard Goedhart	11/28/2023	234.05
ACH	HOWARD	RICHARD J HOWARD	11/28/2023	714.26
ACH	Huff	Robert Huff	11/28/2023	234.05
ACH	KELLER	JUSTINE KELLER	11/28/2023	116.50
ACH	Kimball	Deborah Kimball	11/28/2023	234.05
ACH	KOENIG	Steve Koenig	11/28/2023	234.05
ACH	LACHANCE	Glenn LaChance	11/28/2023	362.40
ACH	LOUGHNER	LINDA LOUGHNER	11/28/2023	243.00
ACH	McCarty	Danny McCarty	11/28/2023	234.05
ACH	MILLER J	Jon Miller	11/28/2023	234.05
ACH	MONTANI	Karen Montani	11/28/2023	100.07
ACH	Niewola	Urszula Niewola	11/28/2023	234.05
ACH	PARSONS	John Parsons	11/28/2023	234.05
ACH	Plummer	John Clark Plummer	11/28/2023	234.05
ACH	POSPISIL	Terry Pospisil	11/28/2023	234.05
ACH	REES	JETTE REES	11/28/2023	170.10
ACH	Rehders	Renee Rehders	11/28/2023	234.05
ACH	Reinig	Allyson Reinig	11/28/2023	234.05
ACH	Reisin	Caroline Reisinger	11/28/2023	234.05
ACH	Rincon	Claudio Rincon	11/28/2023	234.05
ACH	RODRIG	Agripino Rodriguez	11/28/2023	234.05
ACH	SHAW	LAWRENCE SHAW	11/28/2023	234.05
ACH	Sipe	Russell Sipe	11/28/2023	234.05
28115	POSEY	Mike Posey	11/28/2023	100.00
28116	TAYLOR	John Taylor	11/28/2023	100.00
Total for 11/28/2023:				10,535.53
ACH	CalPERS	Calif. Public Employees' Retirement	11/29/2023	84,473.49
28117	AFLAC	American Family Life Assurance Co., of C	11/29/2023	2,637.30
28118	Guard	Guardian - Appleton	11/29/2023	14,034.74
28119	VSP	Vision Service Plan	11/29/2023	1,009.71
Total for 11/29/2023:				102,155.24
ACH	MORENO	Antonio J. Moreno	11/30/2023	200.00
28120	AT T FAX	AT&T	11/30/2023	394.11
28121	BAUSAD	DANA BAUSA	11/30/2023	195.76
28122	CROWN	Crown Maintenance	11/30/2023	249.00
28123	McFadden	McFadden-Dale Industrial Hardware, LLC	11/30/2023	22.95
28124	MOREY	Nick Morey	11/30/2023	169.54
28125	SCE	Southern Calif. Edison	11/30/2023	6,894.96
28126	WALKING	The Walking Man, Inc.	11/30/2023	750.00
28127	WOODRUFF	Woodruff & Smart	11/30/2023	2,150.00
Total for 11/30/2023:				11,026.32
Report Total (188 checks):				769,444.62



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 18, 2024

## AGENDA REPORT

### AGENDA ITEM F.3

**Prepared By:** Tan Nguyen, Finance Manager  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Approve Monthly Financial Report for November 2023

#### Recommended Action:

Receive and file

#### Executive Summary:

Receive and file the Orange County Mosquito and Vector Control District Monthly Financial Report for November 2023.

#### Strategic Plan Compliance:

Complies with PRIORITY AREA 11: Fiscal Responsibility/Financial, GOAL 11.1: Conduct the Business and Operational Functions of the District in the most cost efficient and effective manner that prioritizes both fiscal and fiduciary responsibility to the residents of Orange County by ensuring a robust system of financial checks and balances are in place.

#### Fiscal Impact:

**What Amount is being requested?** N/A

**Is the Amount Requested Budgeted in the Current Fiscal Year?**

**If No, What Funds Are Requested?**

#### Previous Relevant Board Actions for This Item:

#### Exhibits:


**Exhibit A:** Monthly Financial Report for November 2023

**Orange County Mosquito and Vector Control District**  
**Monthly Financial Report**  
**Month Ending November 30, 2023**

Fund No.	Fund	Cash Balance 10/31/2023	Revenue	Expenditures	Transfers	Accrual Adjustment	Cash Balance 11/30/2023	Cash Balance 11/30/2022
10	Operating	\$ 6,465,463	\$ 3,628,230	\$ 1,184,385	\$ -	\$ 541	\$ 8,909,849	\$ 5,465,512
20	Vehicle Replacement	614,061	-	-	-	-	614,061	606,466
30	Liability Reserve	371,294	-	-	-	-	371,294	366,701
40	Equipment Replacement	841,961	-	-	-	-	841,961	831,548
50	Emergency Vector Control	1,685,758	-	-	-	-	1,685,758	1,664,908
60	Facility Improvement	13,462,307	13,145	2,251	-	-	13,473,201	12,655,189
70	Habitat Remediation	100,002	-	-	-	-	100,002	100,002
90	Retiree Medical Insurance	23,537	2,160	18,197	-	(10,062)	(2,562)	(169,027)
95	Retirement Contingency	306,016	-	-	-	-	306,016	5,483
99	Payroll Clearing	72,720	-	-	-	(74,328)	(1,608)	452,555
		<b>\$ 23,943,119</b>	<b>\$ 3,643,535</b>	<b>\$ 1,204,833</b>	<b>\$ -</b>	<b>\$ (83,849)</b>	<b>\$ 26,297,972</b>	<b>\$ 21,979,337</b>

Cash & Investment Balances:		Monthly Yield
California LAIF	\$ 16,014,290	3.843%
O.C. Treasurer	1,798,857	4.277%
Stifel Brokerage account		
Cash sweep account	16,755	0.15%
Fixed income - Muni (par)	1,980,000	2.63%
Fixed income - other (par)	3,002,000	3.65%
Insured bank deposit program	367,267	5.00%
Unamortized premium/(discount) on investments	61,153	n/a
F&M Checking	2,696,375	n/a
Payroll Checking	351,269	n/a
F&M HBP	6	0.05%
Petty Cash - Checking	10,000	n/a
<b>Total Cash and Investments</b>	<b>\$ 26,297,972</b>	

Section 115 Irrevocable Trust Balances:		3-month return
PARS Post-Employment Benefits Trust	\$ 4,573,609	0.42%
PARS Pension Trust	2,867,419	0.44%
<b>Total PARS Trust Balances</b>	<b>\$ 7,441,028</b>	

  
 Lora Young  
 District Manager

  
 Tan Nguyen  
 Finance Manager

**Monthly Cash Flow**

Month	Revenue	Expenditures	Transfers	Accrual Adjustment	Monthly Cash Flow	Prior Year Comparison
July	\$ 32,297	\$ 1,387,051	\$ -	\$ 624,848	\$ (729,906)	\$ (622,621)
August	30,623	2,010,850	-	(583,632)	(2,563,859)	(1,889,267)
September	132,281	1,186,511	-	13,298	(1,040,932)	(1,507,550)
October	228,546	1,187,622	-	(15,056)	(974,132)	(796,117)
November	3,643,535	1,204,833	-	(83,849)	2,354,853	1,249,248
December					-	6,048,040
January					-	(49,352)
February					-	(1,066,827)
March					-	(226,582)
April					-	4,222,389
May					-	(34,011)
June					-	(1,621,046)
<b>Total YTD</b>	<b>\$ 4,067,282</b>	<b>\$ 6,976,867</b>	<b>\$ -</b>	<b>\$ (44,391)</b>	<b>\$ (2,953,976)</b>	<b>\$ 3,706,304</b>

Revenues: 42% of Fiscal Year



10-Operating Fund	Budget	Actual	Percentage
Property Taxes	\$ 7,498,000	\$ 1,603,712	21.4%
1996 Benefit Assessment	1,581,955	366,686	23.2%
2004 Benefit Assessment	7,636,420	1,681,652	22.0%
Interest and Concessions	65,000	220,956	339.9%
Miscellaneous	12,000	41,187	343.2%
Successor Agency Passthru/Residual	450,000	-	0.0%
Rent for Cell Sites	31,500	13,987	44.4%
VCJPA Pooled Services	20,000	-	0.0%
CDPH - CA State Grant	-	-	0.0%
Charges for Services	60,000	40,986	68.3%
<b>Total Operating Fund Revenues</b>	<b>17,354,875</b>	<b>3,969,166</b>	<b>22.9%</b>

The major distributions of property tax and benefit assessments occur in four installments: December, January, April, and May.

Note 1

Monies come through the County and are unpredictable

No.	Other Funds	Budget	Actual	Percentage
20	Vehicle Replacement	20,000	717	3.6%
30	Liability Reserve	4,000	433	10.8%
40	Equipment Replacement	6,500	983	15.1%
50	Emergency Vector Control	13,000	1,968	15.1%
60	Facility Improvement	275,000	82,854	30.1%
70	Habitat Remediation	-	-	0.0%
90	Retiree Medical Insurance	31,000	10,804	34.9%
95	Retirement Contingency	1,500	357	23.8%
	<b>Total Other Funds</b>	<b>327,000</b>	<b>98,116</b>	<b>30.0%</b>
	<b>Total Revenue</b>	<b>\$ 17,705,875</b>	<b>\$ 4,067,282</b>	<b>23.0%</b>

Note 1: Throughout the fiscal year, interest receipts are recorded as revenue when cash payments are received. At year-end, any difference between the market and book value of investments is an unrealized gain or loss and is recorded as a component of interest revenue. Interest rates and market value have an inverse relationship whereby rising interest rates results in declining market value. Consequently, interest receipt trends do not predict the year-end interest revenue that will be reported in the annual financial statements. Each quarter, interest receipts are allocated to other funds based on each fund's balance in the District's pool.

### Expenditures: 42% of Fiscal Year

No.	10-Operating Fund	Budget	Actual	Percentage
110	Trustees	\$ 62,650	\$ 9,122	14.6%
120	District Manager	471,175	82,307	17.5%
130	Legal Services	120,000	39,028	32.5%
140	Non-Departmental	360,500	133,770	37.1%
	<b>Executive</b>	<b>1,014,325</b>	<b>264,227</b>	<b>26.0%</b>
210	Administrative Services	938,530	348,112	37.1%
220	Insurance	873,600	785,432	89.9%
	<b>Administrative Services</b>	<b>1,812,130</b>	<b>1,133,544</b>	<b>62.6%</b>
310	Technical Services	2,195,040	899,762	41.0%
350	SIT Program	204,735	97,835	47.8%
	<b>Scientific Technical Services</b>	<b>2,399,775</b>	<b>997,597</b>	<b>41.6%</b>
410	Field Operations	7,402,385	3,150,560	42.6%
430	Vehicle Maintenance	853,315	486,326	57.0%
440	Building Maintenance	289,410	116,419	40.2%
	<b>Operations</b>	<b>8,545,110</b>	<b>3,753,305</b>	<b>43.9%</b>
510	Public Information	780,300	265,663	34.0%
520	Information Technology	902,145	355,142	39.4%
530	Public Service	179,830	86,994	48.4%
	<b>Public Information</b>	<b>1,862,275</b>	<b>707,799</b>	<b>38.0%</b>
	<b>Total Operating Fund Expenditures</b>	<b>15,633,615</b>	<b>6,856,472</b>	<b>43.9%</b>

Annual insurance premiums were paid in August.

Budgeted purchases of vehicles occurred in the first quarter of the year.

No.	Other Funds	Budget	Actual	Percentage
20	Vehicle Replacement	-	-	0.0%
30	Liability Reserve	-	-	0.0%
40	Equipment Replacement	-	-	0.0%
50	Emergency Vector Control	-	-	0.0%
60	Facility Improvement	440,000	16,801	3.8%
70	Habitat Remediation	-	-	0.0%
90	Retiree Medical Insurance	341,800	103,594	30.3%
95	Retirement Contingency	300,000	-	0.0%
	<b>Total Other Funds</b>	<b>1,081,800</b>	<b>120,395</b>	<b>11.1%</b>
	<b>Total Expenditures</b>	<b>\$ 16,715,415</b>	<b>\$ 6,976,867</b>	<b>41.7%</b>

STIFEL ACCOUNT STATEMENT

5222 151971 75986 5952 SNFSNF001\_R\_R\_010-020p\_001

**ORANGE COUNTY MOSQUITO & VECTOR CONTROL DISTRICT**  
13001 GARDEN GROVE BLVD  
GARDEN GROVE CA 92843-2102

*Your Financial Advisor (LU04):*  
SANDRA HEDSTROM WHEELER  
Telephone: (805) 783-2921

*Office Serving Your Account:*  
4460 BROAD STREET  
SUITE 210  
SAN LUIS OBISPO, CA 93401

**PRIMARY INVESTMENT OBJECTIVE: Income**

**RISK TOLERANCE: Moderate**

For a full definition of this objective and risk tolerance, including the use of margin, please see [www.stifel.com](http://www.stifel.com), IMPORTANT DISCLOSURES, or contact your Financial Advisor. If you have any questions concerning your investment objective or risk tolerance, or wish to make a change, please contact your Financial Advisor or the Branch Manager for this office.

**TRADING TAX LOT RELIEF METHOD : First In, First Out**

**INVESTOR UPDATE**

The end of the year will be here before you know it. Talk to your Stifel Financial Advisor to assess your plans, schedule charitable donations, and tie up any tax planning before 2023 comes to a close.

**ACCOUNT PROTECTION**

Stifel, Nicolaus & Company, Incorporated provides up to \$150 million of coverage for securities held in client accounts, of which \$1.15 million may be in cash deposits. Ask your Financial Advisor for more details.

Thank you for allowing Stifel to serve you. In order to protect your rights, including rights under the Securities Investor Protection Act (SIPA), please promptly report, in writing, any inaccuracies or discrepancies in this account or statement to the Compliance Department of Stifel at the address below. If you have any questions regarding your account or this statement, please contact your Financial Advisor or the Branch Manager for this office. For additional information regarding your Stifel account, please refer to the current Stifel Account Agreement and Disclosure Booklet, which is available at [www.stifel.com/disclosures/account-agreement](http://www.stifel.com/disclosures/account-agreement).

**PORTFOLIO SUMMARY**

	November 30	October 31
Net Cash Equivalents **	16,755.45	6,323.79
Net Portfolio Assets held at Stifel <sup>4</sup>	5,178,793.94	5,139,403.46
Net Portfolio Assets not held at Stifel		
<b>Net Portfolio Value</b>	<b>\$5,195,549.39</b>	<b>\$5,145,727.25</b>

**YOUR CHANGE IN PORTFOLIO VALUE**

	November 30	October 31
Net Cash Flow (Inflows/Outflows) <sup>2</sup>		
Securities Transferred In/Out		
Income and Distributions	15,626.09	15,396.39
Change in Securities Value	34,196.05	1,429.07
<b>Net Change in Portfolio Value</b>	<b>\$49,822.14</b>	<b>\$16,825.46</b>

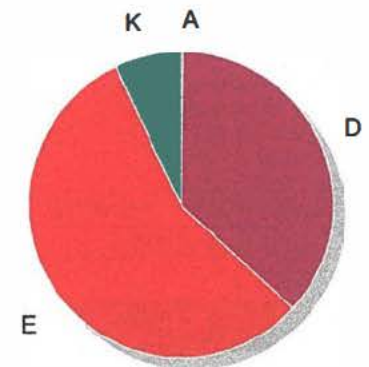
\*\* See the Stifel Insured Bank Deposit Program Disclosure Statements for additional information.

<sup>2</sup> Does not include cost or proceeds for buy or sell transactions.

<sup>4</sup> Includes balances which are FDIC insured bank deposits, not cash held in your Securities Account and not covered by SIPC.

**YOUR ASSET SUMMARY**

	Value on November 30, 2023 (\$)	Percentage of your account
A Net Cash Equivalents**	16,755.45	0.32%
D Fixed Income-Muni	1,900,804.00	36.59%
E Fixed Income-Other	2,910,722.78	56.02%
K Stifel Smart Rate <sup>4</sup>	367,267.16	7.07%
<b>Total Assets</b>	<b>\$5,195,549.39</b>	<b>100.00%</b>







**ASSET SUMMARY**

	Value as of November 30, 2023				Gains/(-)Losses		
	At Stifel	Not at Stifel	Total	% of assets *	Unrealized	Realized	
						This Period	Year-to-date
Cash	16,755.45		16,755.45	0.32%			
Cash Sweep**							
Margin Balance							
<b>A. Net Cash Equivalents</b>	<b>\$16,755.45</b>		<b>\$16,755.45</b>	<b>0.32%</b>			
B. Equities							
C. Preferreds							
D. Fixed Income-Muni	1,900,804.00		1,900,804.00	36.59%	-120,425.48		
E. Fixed Income-Other	2,910,722.78		2,910,722.78	56.02%	-105,892.28		14.95
F. Mutual Funds							
G. Unit Investment Trusts							
H. Insurance Products							
I. Alternative Investments							
J. Other Investments							
K. Stifel Smart Rate Program **	367,267.16		367,267.16	7.07%			
<b>Net Portfolio Assets</b>	<b>\$5,178,793.94</b>	<b>\$0.00</b>	<b>\$5,178,793.94</b>	<b>99.68%</b>	<b>-\$226,317.76</b>	<b>\$0.00</b>	<b>\$14.95</b>
<b>Net Portfolio Value</b>	<b>\$5,195,549.39</b>	<b>\$0.00</b>	<b>\$5,195,549.39</b>	<b>100.00%</b>	<b>-\$226,317.76</b>	<b>\$0.00</b>	<b>\$14.95</b>

**INCOME & DISTRIBUTION SUMMARY**

	Security Type	Year-to-date	This period
Dividends	Tax-Exempt		
	Taxable		
Interest	Tax-Exempt	3,300.00	1,650.00
	Taxable	147,688.37	13,976.09
Capital Gain Distributions			
Return of Principal			
Other			
<b>Total Income &amp; Distributions</b>		<b>\$150,988.37</b>	<b>\$15,626.09</b>

**INFORMATION SUMMARY**

	Security Type	Year-to-date	This period
Accrued Interest Paid	Tax-Exempt		
	Taxable	8,552.88	1,961.69
Accrued Interest Received	Tax-Exempt		
	Taxable	1,166.67	1,166.67
Gross Proceeds		1,143,763.00	423,763.00
Federal Withholding			
Foreign Taxes Paid			
Margin Interest Charged			

\* Please note "% of assets" figures are shown gross of any amounts owed to Stifel and/or net short positions.

\*\* Include balances which are FDIC insured bank deposits, not cash held in your Securities Account and not covered by SIPC.

## ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor. Lot detail quantity displayed is truncated to the one thousandth of a share.

### NET CASH EQUIVALENTS

	<i>Current value</i>	<i>Cost Basis</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
CASH	16,755.45	16,755.45		
STIFEL FDIC INSURED	0.00	0.00	0.00	0.15%
<b>Total Net Cash Equivalents</b>	<b>\$16,755.45</b>	<b>\$16,755.45</b>	<b>\$0.00</b>	

#### STIFEL INSURED BANK DEPOSIT PROGRAM

Funds deposited through the Stifel Insured Bank Deposit Program (the "Program") may be deposited at multiple banks. The Program's Disclosure Statement is available at [www.stifel.com/disclosures/account-agreement](http://www.stifel.com/disclosures/account-agreement). The deposits are not covered by the Securities Investor Protection Corporation ("SIPC"). Deposits are insured by the FDIC within applicable limits.

Balances in the Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel, subject to applicable limits, can be liquidated upon request and the proceeds returned to your securities account or can be distributed directly to you with the proper withdrawal form on file.

### PORTFOLIO ASSETS - HELD AT STIFEL

<b>Fixed Income-Muni</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
CONNECTICUT ST SER A B/E TXBL CPN 1.998% DUE 07/01/24 DTD 06/11/20 FC 01/01/21 CUSIP: 20772KJW0 <i>Original Cost: 112,753.90</i>	S&P: AA- Moody: Aa3 <b>Cash</b>	110,000	98.0360 107,839.60	100.3717 110,408.92	915.75	-2,569.32	2,197.80	2.04%
KANSAS ST DEV FIN AUTH REV ATHLETIC FACS K ST SER B 2 B/E TXBL CPN 4.083% DUE 07/01/24 DTD 03/01/12 FC 07/01/12 CUSIP: 485429MF8 <i>Original Cost: 77,557.81</i>	S&P: A- Moody: A1 <b>Cash</b>	70,000	99.1710 69,419.70	101.7962 71,257.34	1,190.88	-1,837.64	2,858.10	4.12%



**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Muni</b>	<b>Symbol/ Bond Rating/ Type</b>	<b>Quantity</b>	<b>Current Price/ Current Value</b>	<b>Average Unit Cost/ Cost Basis</b>	<b>Accrued Income<sup>6</sup></b>	<b>Unrealized Gain/(-)Loss<sup>10</sup></b>	<b>Estimated Annualized Income</b>	<b>Estimated Yield %</b>
AMARILLO TX HOTEL OCCUP TAX REV AGM B/E TXBL CPN 3.070% DUE 08/15/24 DTD 03/15/16 FC 02/15/17 CUSIP: 023039AF7 <i>Original Cost: 80,422.25</i>	S&P: AA <b>Cash</b>	75,000	98.1940 73,645.50	101.6168 76,212.61	677.96	-2,567.11	2,302.50	3.13%
MIAMI DADE CNTY FL AVIATION REV RFDG SER B B/E TXBL CPN 2.504% DUE 10/01/24 DTD 08/25/16 FC 10/01/16 CUSIP: 59333PV39 <i>Original Cost: 208,207.00</i>	S&P: A <b>Cash</b>	200,000	97.6180 195,236.00	100.8690 201,737.93	834.67	-6,501.93	5,008.00	2.57%
SAN DIEGO CA CONVNTN CTR EXPANSION FING AUTH LSE REV RFDG B/E TXBL CPN 1.677% DUE 04/15/25 DTD 07/08/20 FC 10/15/20 CUSIP: 79727LBS7 <i>Original Cost: 173,029.30</i>	S&P: AA- <b>Cash</b>	170,000	94.8730 161,284.10	100.5810 170,987.75	364.28	-9,703.65	2,850.90	1.77%
NEW YORK NY CITY HSG DEV CORP MLTIFAM HSG REV SER E B/E CPN 3.000% DUE 05/01/25 DTD 09/24/14 FC 11/01/14 CALL 12/31/23 @ 100.000 CUSIP: 64972CBF9 <i>Original Cost: 117,294.45</i>	S&P: AA+ Moody: Aa2 <b>Cash</b>	110,000	99.6410 109,605.10	100.0000 110,000.00	275.00	-394.90	3,300.00	3.01%
SUFFOLK CNTY NY RFDG SER C AGM B/E TXBL CPN 1.607% DUE 06/15/25 DTD 11/18/20 FC 12/15/20 CUSIP: 86476PE20 <i>Original Cost: 252,280.00</i>	S&P: AA <b>Cash</b>	250,000	94.1570 235,392.50	100.3139 250,784.86	1,852.51	-15,392.36	4,017.50	1.71%



**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Muni</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
FLORIDA ST BRD ADMIN FIN CORP REV SER A B/E TXBL CPN 1.258% DUE 07/01/25 DTD 09/16/20 FC 01/01/21 CUSIP: 341271AD6 <i>Original Cost: 101,351.00</i>	S&P: AA Moody: Aa3 Cash	100,000	93.9630 93,963.00	100.5643 100,564.31	524.17	-6,601.31	1,258.00	1.34%
KENTUCKY HSG CORP REV SER B B/E TXBL CPN 3.312% DUE 07/01/25 DTD 12/01/16 FC 07/01/17 CUSIP: 49130TVJ2 <i>Original Cost: 216,995.00</i>	S&P: AAA Moody: Aaa Cash	200,000	96.8420 193,684.00	103.2957 206,591.49	2,760.00	-12,907.49	6,624.00	3.42%
CLEVELAND OH INCM TAX REV RFDG SUB LEIN SER A 1 B/E TXBL CPN 1.985% DUE 10/01/25 DTD 02/26/20 FC 10/01/20 CUSIP: 186387VE3 <i>Original Cost: 104,395.00</i>	S&P: AA Moody: Aa3 Cash	100,000	94.3500 94,350.00	101.6663 101,666.27	330.83	-7,316.27	1,985.00	2.10%
STEPHENSON CNTY IL SCH DIST 145 FREEPORT RFDG AGM B/E TXBL CPN 1.750% DUE 10/01/25 DTD 11/24/20 FC 04/01/21 CUSIP: 858892MD1 <i>Original Cost: 103,005.00</i>	S&P: AA Cash	100,000	93.8800 93,880.00	101.1560 101,156.05	291.67	-7,276.05	1,750.00	1.86%
BAY AREA TOLL AUTH CA TOLL BRDG REV SAN FRANCISO F 1 B/E TXBL CPN 2.425% DUE 04/01/26 DTD 09/26/19 FC 04/01/20 CUSIP: 072024WR9 <i>Original Cost: 133,472.85</i>	S&P: AA Moody: Aa3 Cash	125,000	94.4120 118,015.00	103.2949 129,118.57	505.21	-11,103.57	3,031.25	2.57%

**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Muni</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
UNIVERSITY CA REV RFDG GENL SER AS B/E TXBL CPN 2.587% DUE 05/15/26 DTD 04/20/16 FC 11/15/16 CUSIP: 91412GE27 <i>Original Cost: 161,363.00</i>	S&P: AA Moody: Aa2 Cash	150,000	94.2650 141,397.50	103.7810 155,671.55	172.47	-14,274.05	3,880.50	2.74%
MASSACHUSETTS EDL FING AUTH ED LN ISSUE L SR SER A REV B/E TXBL CPN 4.038% DUE 07/01/26 DTD 06/13/18 FC 01/01/19 CUSIP: 57563RPM5 <i>Original Cost: 248,805.20</i>	S&P: AA Cash	220,000	96.8600 213,092.00	106.8508 235,071.83	3,701.50	-21,979.83	8,883.60	4.17%
<b>Total Fixed Income-Muni</b>		<b>1,980,000</b>	<b>\$1,900,804.00</b>	<b>\$2,021,229.48</b>	<b>\$14,396.90</b>	<b>-\$120,425.48</b>	<b>\$49,947.15</b>	<b>2.63%</b>
Municipal Bonds held may or may not be tax free. Please consult with your tax advisor.								
<b>Fixed Income-Other</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
BMW BANK OF NORTH AMER SALT LAKE CITY UT CD FDIC #35141 CPN 1.650% DUE 02/28/24 DTD 02/28/20 FC 08/28/20 CUSIP: 05580AVB7	Cash	200,000	99.0600* 198,120.00	100.0000 200,000.00	858.90	-1,880.00	3,300.00	1.67%
U S TREASURY NOTE CPN 2.500% DUE 05/15/24 DTD 05/15/14 FC 11/15/14 CUSIP: 912828WJ5 <i>Original Cost: 251,268.32</i>	Moody: Aaa Cash	250,000	98.7340 246,835.00	100.1144 250,285.95	274.73	-3,450.95	6,250.00	2.53%
U S TREASURY NOTE CPN 3.000% DUE 06/30/24 DTD 06/30/22 FC 12/31/22 CUSIP: 91282CEX5	Moody: Aaa Cash	100,000	98.6640 98,664.00	99.5147 99,514.72	1,255.43	-850.72	3,000.00	3.04%
ROGERS MEM HOSP INC BOND CPN 2.383% DUE 07/01/24 DTD 08/28/19 FC 01/01/20 CUSIP: 775200AE8 <i>Original Cost: 104,880.00</i>	S&P: A Cash	100,000	97.8250 97,825.00	100.7528 100,752.82	992.92	-2,927.82	2,383.00	2.44%

**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Other</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
WESTERN ASSET MTG CAP CORP SR CONV NOTE CPN 6.750% DUE 09/15/24 DTD 09/14/21 FC 03/15/22 CALL 06/15/24 @ 100.000 CUSIP: 95790DAD7	<b>Cash</b>	175,000	97.4340 170,509.50	99.7229 174,515.00	2,493.75	-4,005.50	11,812.50	6.93%
U S TREASURY NOTE CPN 2.250% DUE 10/31/24 DTD 10/31/17 FC 04/30/18 CUSIP: 9128283D0 <i>Original Cost: 103,230.00</i>	Moody: Aaa <b>Cash</b>	100,000	97.3480 97,348.00	101.0771 101,077.10	191.62	-3,729.10	2,250.00	2.31%
SOUTHERN CA EDISON CO 1ST & RFDG MTG BOND SER E CPN 3.700% DUE 08/01/25 DTD 08/02/18 FC 02/01/19 CALL 06/01/25 @ 100.000 CUSIP: 842400GN7 <i>Original Cost: 150,425.00</i>	S&P: A- Moody: A2 <b>Cash</b>	150,000	96.8200 145,230.00	100.1481 150,222.18	1,850.00	-4,992.18	5,550.00	3.82%
SOCIETE GENERALE UNSECD MEDIUM TERM NOTE STEP CPN 1.150% DUE 08/19/25 DTD 08/19/20 FC 02/19/21 CALL 02/19/24 @ 100.000 CUSIP: 83369MD25	S&P: A Moody: A1 <b>Cash</b>	50,000	91.9340 45,967.00	98.5100 49,255.00	162.92	-3,288.00	575.00	1.25%
REALTY INCOME CORP NOTE CPN 4.625% DUE 11/01/25 DTD 11/01/21 FC 05/01/22 CALL 09/01/25 @ 100.000 CUSIP: 756109BE3	S&P: A- Moody: A3 <b>Cash</b>	200,000	98.3590 196,718.00	99.7385 199,477.00	770.83	-2,759.00	9,250.00	4.70%
BMO HARRIS BANK NA CHICAGO IL CD FDIC #16571 CLLB CPN 3.000% DUE 11/26/25 DTD 05/26/22 FC 08/26/22 CALL 02/26/24 @ 100.000 CUSIP: 05600XFJ4	<b>Cash</b>	145,000	95.7130* 138,783.85	100.0000 145,000.00	59.59	-6,216.15	4,350.00	3.13%



**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Other</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
SIMON PPTY GRP LP NOTE CPN 3.300% DUE 01/15/26 DTD 01/13/16 FC 07/15/16 CALL 10/15/25 @ 100.000 CUSIP: 828807CW5 <i>Original Cost: 131,249.00</i>	S&P: A- Moody: A3 <b>Cash</b>	120,000	95.6490 114,778.80	104.3065 125,167.79	1,496.00	-10,388.99	3,960.00	3.45%
MORGAN STANLEY SR NOTE CPN 3.875% DUE 01/27/26 DTD 01/27/16 FC 07/27/16 CUSIP: 61746BDZ6 <i>Original Cost: 100,291.00</i>	S&P: A- Moody: A1 <b>Cash</b>	100,000	97.1390 97,139.00	100.1904 100,190.42	1,334.72	-3,051.42	3,875.00	3.99%
LEGG MASON INC SR NOTE CPN 4.750% DUE 03/15/26 DTD 03/22/16 FC 09/15/16 CUSIP: 524901AV7 <i>Original Cost: 130,942.50</i>	S&P: A Moody: A2 <b>Cash</b>	125,000	98.5640 123,205.00	102.9114 128,639.31	1,253.47	-5,434.31	5,937.50	4.82%
CUSTOMERS BANK PHOENIXVILLE PA CD FDIC #34444 CPN 5.000% DUE 03/31/26 DTD 03/31/23 FC 09/30/23 CUSIP: 23204HNV6	<b>Cash</b>	140,000	99.4770" 139,267.80	100.0000 140,000.00	1,189.04	-732.20	7,000.00	5.03%
BANK AMERICA CORP SR NOTE CPN 3.500% DUE 04/19/26 DTD 04/19/16 FC 10/19/16 CUSIP: 06051GFX2 <i>Original Cost: 105,286.00</i>	S&P: A- Moody: A1 <b>Cash</b>	100,000	96.1850 96,185.00	103.1130 103,112.96	408.33	-6,927.96	3,500.00	3.64%
FEDL HOME LOAN BANK BOND CPN 0.940% DUE 05/26/26 DTD 05/26/21 FC 11/26/21 CALL 11/26/21 @ 100.000 CUSIP: 3130AMH39	S&P: AA+ Moody: Aaa <b>Cash</b>	135,000	90.5720 122,272.20	100.0000 135,000.00	17.63	-12,727.80	1,269.00	1.04%

**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Other</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
BROOKFIELD FIN INC GTD NOTE CPN 4.250% DUE 06/02/26 DTD 06/02/16 FC 12/02/16 CALL 03/02/26 @ 100.000 CUSIP: 11271LAA0	S&P: A- Moody: A3 Cash	115,000	96.7520 111,264.80	98.1793 112,906.25	2,430.17	-1,641.45	4,887.50	4.39%
FEDL FARM CREDIT BANK BOND CPN 0.900% DUE 06/15/26 DTD 06/15/21 FC 12/15/21 CALL 12/07/23 @ 100.000 CUSIP: 3133EMH21	S&P: AA+ Moody: Aaa Cash	50,000	90.8710 45,435.50	99.8897 49,944.87	207.50	-4,509.37	450.00	0.99%
U S TREASURY NOTE CPN 2.250% DUE 02/15/27 DTD 02/15/17 FC 08/15/17 CUSIP: 912828V98 <i>Original Cost: 101,787.19</i>	Moody: Aaa Cash	98,000	93.5390 91,668.22	102.4775 100,427.95	647.12	-8,759.73	2,205.00	2.41%
FEDL HOME LOAN BANK BOND CPN 2.010% DUE 02/25/27 DTD 02/25/22 FC 08/25/22 CALL 05/25/22 @ 100.000 CUSIP: 3130AQUD3	S&P: AA+ Moody: Aaa Cash	100,000	92.1760 92,176.00	98.7550 98,755.00	536.00	-6,579.00	2,010.00	2.18%
STATE BANK OF INDIA NEW YORK NY CD FDIC #33682 CPN 2.200% DUE 03/10/27 DTD 03/10/22 FC 09/10/22 CUSIP: 856285J36	Cash	59,000	91.0890" 53,742.51	100.0000 59,000.00	291.61	-5,257.49	1,298.00	2.42%
FEDL HOME LOAN MTG CORP MEDIUM TERM NOTE CPN 5.270% DUE 06/30/27 DTD 11/27/23 FC 05/27/24 CALL 05/30/24 @ 100.000 CUSIP: 3134H1KS1	S&P: AA+ Moody: Aaa Cash	100,000	100.0370 100,037.00	100.6800 100,680.00	58.56	-643.00	5,270.00	5.27%





**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Other</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
CANADIAN IMPERIAL BANK SR GLBL MEDIUM TERM NOTE CPN 5.250% DUE 12/30/27 DTD 12/30/22 FC 06/30/23 CALL 12/30/24 @ 100.000 CUSIP: 13607XEB9 <i>Original Cost: 141,804.70</i>	S&P: A- Moody: A2 Cash	140,000	98.4240 137,793.60	100.8523 141,193.24	3,082.92	-3,399.64	7,350.00	5.33%
FEDL HOME LOAN BANK BOND CPN 5.625% DUE 11/27/28 DTD 11/30/23 FC 05/27/24 CALL 11/27/24 @ 100.000 CUSIP: 3130AXX37	S&P: AA+ Moody: Aaa Cash	150,000	99.8380 149,757.00	100.9983 151,497.50	23.44	-1,740.50	8,437.50	5.63%
<b>Total Fixed Income-Other</b>		<b>3,002,000</b>	<b>\$2,910,722.78</b>	<b>\$3,016,615.06</b>	<b>\$21,887.20</b>	<b>-\$105,892.28</b>	<b>\$106,170.00</b>	<b>3.65%</b>
Principal Protected Notes are subject to the credit risk of the issuer. Principal Protected Market Linked CDs are subject to applicable limits.								
<b>Stifel Smart Rate Program</b>	<i>Symbol/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>		<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
STIFEL SMART RATE STIFEL BANK ID: 998606107 <i>Interest Option: Reinvest</i>	Cash	139,969.800	1.0000 139,969.80	1.0000 139,969.80		0.00	6,998.49	5.00%
STIFEL SMART RATE STIFEL BANK & TRUST ID: 998606305 <i>Interest Option: Reinvest</i>	Cash	227,297.360	1.0000 227,297.36	1.0000 227,297.36		0.00	11,364.86	5.00%
<b>Total Stifel Smart Rate Program</b>			<b>\$367,267.16</b>	<b>\$367,267.16</b>		<b>\$0.00</b>	<b>\$18,363.35</b>	<b>5.00%</b>
Stifel Smart Rate is a FDIC insured bank deposit held in Stifel's name for the benefit of clients, not cash held in your securities account and not covered by SIPC.								
<b>Total Portfolio Assets - Held at Stifel</b>			<b>\$5,178,793.94</b>	<b>\$5,405,111.70</b>		<b>-\$226,317.76</b>	<b>\$174,480.50</b>	<b>3.37%</b>
<b>Total Net Portfolio Value</b>			<b>\$5,195,549.39</b>	<b>\$5,421,867.15</b>		<b>-\$226,317.76</b>	<b>\$174,480.50</b>	<b>3.36%</b>

**FOOTNOTE DEFINITIONS**

- <sup>6</sup> **Accrued Income:** Accrued Income amounts are provided for informational purposes only and are not included as part of the Net Portfolio Value. Accrued Income represents the sum of accrued interest and accrued dividends on securities positions, but which Stifel has not yet received. Stifel cannot guarantee the accuracy of the Accrued Income, which may be subject to change. Accrued Income amounts are not covered by SIPC and should not be relied upon for making investment decisions.
- <sup>10</sup> Please note "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing. Unrealized gains or losses are provided for your information only and should not be used for tax purposes.

**FOOTNOTE DEFINITIONS** (continued)

" The price assigned to this instrument may have been provided by a national pricing service and is derived from a 'market-driven pricing model.'  
This price may not be the actual price you would receive in the event of a sale prior to the maturity of the C.D. Additional information is available upon request.





ACTIVITY SUMMARY				CASH EQUIVALENTS		
Type of Activity	Activity	Year-to-date	This period	Cash	Cash Sweep	Margin
	<b>Opening Balance - Net Cash Equivalents</b>		<b>\$6,323.79</b>	<b>\$1,125.00</b>	<b>\$5,198.79</b>	<b>\$0.00</b>
Buy and Sell Transactions	Assets Bought	-1,283,794.24	-430,124.10	-430,124.10		
	Assets Sold/Redeemed	1,144,929.67	424,929.67	424,929.67		
Deposits	Deposits Made To Your Account					
Withdrawals	Withdrawals From Your Account					
Income and Distributions	Income and Distributions	150,988.37	15,626.09	15,626.09		
Cash Sweep Activity	Cash Sweep Activity			5,198.79	-5,198.79	
Margin Interest	Margin Interest Charged					
Other	Other Transactions					
Cash Management Activity	Card Activity					
	ACH/ATM Activity					
Checkwriting Activity	Checks You Wrote					
	<b>Closing Balance - Net Cash Equivalents</b>		<b>\$16,755.45</b>	<b>\$16,755.45</b>	<b>\$0.00</b>	<b>\$0.00</b>
Securities Transferred	Securities Transferred In/Out					

ACTIVITY DETAILS				CASH EQUIVALENTS			
				This period	Cash	Cash Sweep	Margin
<b>Opening Balance - Net Cash Equivalents</b>				<b>\$6,323.79</b>	<b>\$1,125.00</b>	<b>\$5,198.79</b>	<b>\$0.00</b>

Assets Bought								
Date	Activity	Quantity	Price	Description	Total	Cash	Cash Sweep	Margin
11/9/2023	Asset Bought	175,000.000	99.7200	WESTERN ASSET MTG CAP CORP SR CONV NOTE CPN 6.750% DUE 09/15/24 DTD 09/14/21 FC 03/15/22 CALL 06/15/24 @ 100.000 CUSIP: 95790DAD7	-176,418.13	-176,418.13		
11/27/2023	Interest Reinvest	560.200		STIFEL SMART RATE STIFEL BANK ID: 998606107 REINVEST AT 1.000	-560.20	-560.20		

\*\*\*\*note\*\*\*\*

**ACTIVITY DETAILS continued**

**CASH EQUIVALENTS continued**

**Assets Bought continued**

Date	Activity	Quantity	Price	Description	Total	Cash	Cash Sweep	Margin
11/27/2023	Interest Reinvest	909.710		STIFEL SMART RATE STIFEL BANK & TRUST ID: 998606305	-909.71	-909.71		
	****note****			REINVEST AT 1.000				
11/29/2023	Asset Bought	150,000.000	100.9950	FEDL HOME LOAN BANK BOND CPN 5.625% DUE 11/27/28 DTD 11/30/23 FC 05/27/24 CALL 11/27/24 @ 100.000 CUSIP: 3130AXX37	-151,497.50	-151,497.50		
11/30/2023	Asset Bought	100,000.000	100.6750	FEDL HOME LOAN MTG CORP MEDIUM TERM NOTE CPN 5.270% DUE 06/30/27 DTD 11/27/23 FC 05/27/24 CALL 05/30/24 @ 100.000 CUSIP: 3134H1KS1	-100,738.56	-100,738.56		
<b>Total Assets Bought</b>					<b>-\$430,124.10</b>	<b>-\$430,124.10</b>		

**Assets Sold/Redeemed**

Date	Activity	Quantity	Price	Description	Total	Cash	Cash Sweep	Margin
11/9/2023	Asset Sold	-175,000.000	99.2960	SANTANDER UK PLC NOTE CPN 4.000% DUE 03/13/24 DTD 03/13/14 FC 09/13/14 CUSIP: 80283LAJ2	174,929.67	174,929.67		
11/30/2023	Redemption	-250,000.000		CITIGROUP GLBL MKTS HLDG MEDIUM TERM NOTE CPN 5.200% DUE 11/30/23 DTD 11/30/22 FC 12/30/22 CUSIP: 17330YDC1	250,000.00	250,000.00		
<b>Total Assets Sold/Redeemed</b>					<b>\$424,929.67</b>	<b>\$424,929.67</b>		



**ACTIVITY DETAILS continued**

**CASH EQUIVALENTS continued**

**Income and Distributions**

<i>Date</i>	<i>Activity</i>	<i>Quantity</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
11/1/2023	Interest		NEW YORK NY CITY HSG DEV CORP MLTIFAM HSG REV SER E B/E CPN 3.000% DUE 05/01/25 DTD 09/24/14 FC 11/01/14 110123 110,000 CUSIP: 64972CBF9	1,650.00	1,650.00		
11/1/2023	Interest		REALTY INCOME CORP NOTE CPN 4.625% DUE 11/01/25 DTD 11/01/21 FC 05/01/22 CALL 09/01/25 @ 100.000 110123 200,000 CUSIP: 756109BE3	4,625.00	4,625.00		
11/15/2023	Interest		U S TREASURY NOTE CPN 2.500% DUE 05/15/24 DTD 05/15/14 FC 11/15/14 111523 250,000 CUSIP: 912828WJ5	3,125.00	3,125.00		
11/15/2023	Interest		UNIVERSITY CA REV RFDG GENL SER AS B/E TXBL CPN 2.587% DUE 05/15/26 DTD 04/20/16 FC 11/15/16 111523 150,000 CUSIP: 91412GE27	1,940.25	1,940.25		
11/27/2023	Interest		BMO HARRIS BANK NA CHICAGO IL CD FDIC #16571 CLLB CPN 3.000% DUE 11/26/25 DTD 05/26/22 FC 08/26/22 112623 145,000 CUSIP: 05600XFJ4	1,096.44	1,096.44		
11/27/2023	Interest		FEDL HOME LOAN BANK BOND CPN 0.940% DUE 05/26/26 DTD 05/26/21 FC 11/26/21 CALL 02/26/24 @ 100.000 112623 135,000 CUSIP: 3130AMH39	634.50	634.50		



**ACTIVITY DETAILS continued**

**CASH EQUIVALENTS continued**

**Income and Distributions continued**

<i>Date</i>	<i>Activity</i>	<i>Quantity</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
11/27/2023	Interest		STIFEL SMART RATE STIFEL BANK 112423 139,409.60000 ID: 998606107	560.20	560.20		
11/27/2023	Interest		STIFEL SMART RATE STIFEL BANK & TRUST 112423 226,387.65000 ID: 998606305	909.71	909.71		
11/30/2023	Interest		STIFEL FDIC INSURED BANK DEPOSIT PROGRAM CUSIP: 09999844	1.66	1.66		
11/30/2023	Interest		CITIGROUP GLBL MKTS HLDG MEDIUM TERM NOTE CPN 5.200% DUE 11/30/23 DTD 11/30/22 FC 12/30/22 CITIGROUP GLBL MKTS HLDG CUSIP: 17330YDC1	1,083.33	1,083.33		
<b>Total Income and Distributions</b>				<b>\$15,626.09</b>	<b>\$15,626.09</b>		

**Cash Sweep Activity**

<i>Date</i>	<i>Activity</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
11/1/2023	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-1,125.00	1,125.00	
11/2/2023	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-6,275.00	6,275.00	
11/13/2023	Sale	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		1,488.46	-1,488.46	
11/16/2023	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-5,065.25	5,065.25	
11/28/2023	Purchase	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-1,730.94	1,730.94	
11/30/2023	Sale	STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		17,906.52	-17,906.52	
<b>Total Cash Sweep Activity</b>			<b>\$0.00</b>	<b>\$5,198.79</b>	<b>-\$5,198.79</b>	



	<i>This period</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
<b>Closing Balance - Net Cash Equivalents</b>	<b>\$16,755.45</b>	<b>\$16,755.45</b>	<b>\$0.00</b>	<b>\$0.00</b>

**REALIZED GAINS/(-)LOSSES**

This section provides estimated realized gains or losses for informational purposes only. Cost basis may be adjusted due to, but not limited to, the following: amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. Unless another method was in effect at the time of the trade, the trading tax lot relief method indicated on the first page of the statement was used to calculate gains or losses. Please review this information carefully for accuracy, and contact your Financial Advisor with any questions.

	<i>Closing Transaction</i>	<i>Date Acquired</i>	<i>Date Sold</i>	<i>Quantity</i>	<i>Cost Basis</i>	<i>Sale Proceeds</i>	<i>Realized Gain/(-)Loss**</i>
<b>Fixed Income-Other</b>							
CITIGROUP GLBL MKTS HLDG MEDIUM TERM NOTE CUSIP: 17330YDC1	REDEEMED	11/29/22	11/30/23	250,000	250,000.00	250,000.00	N/A (LT)
SANTANDER UK PLC NOTE CUSIP: 80283LAJ2		10/17/22	11/09/23	175,000	173,763.00	173,763.00	N/A (LT)
<b>Total Fixed Income-Other</b>					<b>\$423,763.00</b>	<b>\$423,763.00</b>	<b>\$0.00</b>
<b>Total Realized Gains/(-)Losses</b>					<b>\$423,763.00</b>	<b>\$423,763.00</b>	<b>\$0.00</b>
<b>Total Net Short-Term (ST)</b>					<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Total Net Long-Term (LT)</b>					<b>\$423,763.00</b>	<b>\$423,763.00</b>	<b>\$0.00</b>
<b>Total Net Other-Term (OT)</b>					<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

\*\* Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.



### Stifel Insured Bank Deposit Program

Amount(s) listed below include accrued interest in the amount of \$1.66. The rate at month-end was 0.15%.

Description	Location	Previous Month Value	Current Month Value
Stifel Bank and Trust	St. Louis, MO	\$1.08	\$0.00
Stifel Trust Company NA	St. Louis, MO	\$5,197.71	\$0.00
<b>Closing Balance - Stifel Insured Bank Deposit Program</b>			<b>\$0.00</b>

### Stifel Smart Rate Program

Amount(s) listed below include accrued interest in the amount of \$1,469.91.\* The rate at month-end for the Stifel Smart Rate Program was 5.00%.

Description	Location	Previous Month Value	Current Month Value
Stifel Bank & Trust	St. Louis, MO	\$226,387.65	\$227,297.36
Stifel Bank	Clayton, MO	\$139,409.60	\$139,969.80
<b>Closing Balance - Stifel Smart Rate Program</b>			<b>\$367,267.16**</b>

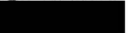
Your deposit balances at each Program Bank are eligible for insurance by the FDIC within applicable limits. The deposit balances are not insured by SIPC. Please refer to the Stifel Insured Bank Deposit Program Disclosure Statement and the Stifel Insured Bank Deposit Program for Retirement Accounts Disclosure Statement which are available at [www.stifel.com/disclosures/account-agreement](http://www.stifel.com/disclosures/account-agreement) or from your Financial Advisor.

Stifel Smart Rate Program balances are bank deposits, which are eligible for insurance by the FDIC within applicable limits. Deposits in the Stifel Smart Rate Program with Stifel Bank, member FDIC, Stifel Bank & Trust, member FDIC, Stifel Trust Company, N.A., member FDIC, or Stifel Trust Company Delaware, N.A., member FDIC are reflected here for your convenience and are not cash held in your securities account(s). Stifel Smart Rate Program deposits are not insured by SIPC. For additional information and terms and conditions concerning these deposits, see the Stifel Smart Rate Program Disclosure which is available at [www.stifel.com/disclosures](http://www.stifel.com/disclosures) or from your Financial Advisor.

\* The interest accrual period for the Stifel Smart Rate Program is measured from the 26th of the previous month through the 25th of the current month. The accrued interest amount is based on this accrual period, not a calendar month.

\*\* The closing balance reflects deposits and withdrawals before the applicable cutoff time on the last business day of the month, regardless of when the transaction settles.





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### Certain Definitions

“Stifel” means Stifel, Nicolaus & Company, Incorporated, Member SIPC and NYSE.

“Stifel Banks” means affiliated banks of Stifel, which may include Stifel Bank & Trust, Member Federal Deposit Insurance Corporation (“FDIC”); Stifel Bank, Member FDIC; Stifel Trust Company, National Association, Member FDIC; and Stifel Trust Company Delaware, National Association, Member FDIC. **Unless otherwise specified, products purchased from or held by Stifel in a securities account are not insured by the FDIC, are not deposits or other obligations of the Stifel Banks, are not guaranteed by the Stifel Banks, and are subject to investment risk, including possible loss of the principal.**

“Stifel Smart Rate Program” refers to a money market deposit account at Stifel Bank & Trust, Stifel Bank, Stifel Trust Company, N.A., or Stifel Trust Company Delaware, N.A., each an affiliate of Stifel, which is made available to eligible clients of Stifel. The deposits are insured by the FDIC, within applicable limits, and are not cash held in your securities account. For additional information and terms and conditions concerning these deposits, see the Stifel Smart Rate Program Disclosure, which is available at [www.stifel.com/disclosures](http://www.stifel.com/disclosures) or from your Financial Advisor.

### Account Disclosures

**Errors and Inquiries** – You should review this statement carefully and notify the Manager of the Office servicing your account of anything you believe to be incorrect. Any verbal communications should be re-confirmed in writing to protect your rights, including rights under SIPA. All statements furnished to you shall be considered accurate, complete, and acknowledged by you unless you report any inaccuracies to the Manager. Instructions and inquiries should be directed to your Financial Advisor. When making inquiries, please mention your account number. Please notify us promptly of any change of address.

**Investment Objective** – All clients are requested to promptly notify us of any material change in their investment objective or financial situation in order to assist us in maintaining current background and financial information.

**Pricing and Rating of Securities** – The pricing of securities displayed on your statement is derived from various sources and, in some cases, may be higher or lower than the price you would actually receive in the market. If we cannot obtain a price, “N/A” appears. For securities listed on an exchange or trading continually in an active marketplace, the prices reflect market quotations at the close of your statement period. For securities trading less frequently, we rely on third-party pricing services or a computerized pricing model, which may not always reflect actual market values. Similarly, some insurance product values provided by outside carriers may be valued as of a date other than the statement date. Bond ratings of securities were obtained from various rating services. There is no guarantee with respect to their accuracy. For current price quotes, please contact your Financial Advisor.

**Cost Basis Information** – All information provided with respect to cost basis is derived from transactions in the account or information supplied by other sources. There is no guarantee as to the accuracy of cost basis information or the profit and loss information provided for tax lots designated as noncovered. Stifel uses the first-in, first-out method when calculating the realized gain or loss on sale transactions unless a specific identification is made prior to settlement date. The gain or loss provided on your statement is informational only and should not be used for tax reporting. A 1099 including the cost basis for sale proceeds from covered tax lots will be provided after year-end for tax reporting. Please inform your Financial Advisor if a cost basis is not accurate.

**Transaction Dates** – All securities transactions are reflected on a trade date basis. Settlement of trades will normally occur in three business days unless stated differently on your trade confirmation. Title to securities sold to you where Stifel has acted as principal shall remain with Stifel until the entire purchase price is received or until the settlement date, whichever is later.

**Custody of Securities** – Securities held by Stifel, Nicolaus & Company, Incorporated for you, but which are not registered in your name, may be commingled with identical securities being held for other clients by our Correspondent, the Depository Trust Company, or in similar systems.

**Assets Held Away** – You may purchase certain assets through Stifel, which will be held at a custodial institution other than Stifel. Where available, we include information about these assets on your statement. The custodial institution is responsible, however, for providing year-end tax reporting information (Form 1099) and separate periodic statements, which may vary from the information included on your Stifel statement because of different reporting periods. Your Stifel statements may also reflect other assets “not held” at Stifel, in addition to those held by a custodial institution. The value and nature of these investments is generally provided by you. Stifel does not guarantee the accuracy of the information with respect to the value of these investments as reflected on your statement. Assets held away are not covered by Stifel SIPC.

**Estimated Annual Income and Yields** – Estimated annual income and yields are calculated by annualizing the most recent distribution and do not reflect historical experience or project future results. The yield information for the money market funds is based on historical performance; future yields will fluctuate. These figures have been obtained from sources believed to be reliable, but no assurance can be made as to accuracy. Before investing in any of these funds, carefully read the prospectus, which is available through your Financial Advisor.

**Order Routing and Payment for Order Flow** – In order to access a wide variety of execution venues, the firm does participate in the maker/taker model. Certain exchanges and other trading centers to which the firm routes equities and options orders have implemented fee structures under which broker-dealer participants may receive rebates on certain orders. Under these fee structures, participants are charged a fee for orders that take liquidity from the venue, and provided a rebate for orders that add liquidity to the venue. Rebates received by the firm from a venue during any time period may or may not exceed the fees paid by the firm to the venue during that time period. Fees and/or rebates from all venues are subject to change. Stifel will provide customers additional information regarding average net fees/rebates paid/received upon written request. For venues from which Stifel receives a rebate, Stifel is considered to be receiving payment for order flow.

Additional information will be provided upon written request, and certain order routing information is available online at [www.stifel.com/disclosures/best-execution](http://www.stifel.com/disclosures/best-execution). On request of a customer and at no fee, Stifel will disclose to such customer the identity of the venue to which such customer’s orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders. Orders may be routed and executed internally through Stifel’s trading desk. In such instances, Stifel stands to share in 100% of remuneration received (in the case of orders executed as agent) or profits or losses generated (in the case of orders executed as principal) as a result of internalizing such orders. Customers may mail their inquiries to: Stifel – Attn: Equity Trading Compliance, One South Street, Baltimore, Maryland 21202.

**Tax Information** – Although your statement may describe certain items as Federally tax-exempt, this is for information purposes only. When reporting your taxes, please rely exclusively on the substitute Form 1099 you will receive from us after year-end for your taxable accounts. (For Retirement Accounts, Form 1099R will report distributions from the account rather than income and dividends or proceeds from sales.)

**SIPC Protection** – Stifel is a member of the Securities Investor Protection Corporation (SIPC). SIPC coverage protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). An explanatory brochure is available upon request or at [www.sipc.org](http://www.sipc.org), or investors may contact SIPC at (202) 371-8300. Stifel has purchased additional securities coverage of \$149,500,000 and cash coverage of \$900,000 for a total of \$150,000,000 of securities coverage and \$1,150,000 of cash coverage, subject to the terms and conditions of the policy, with an aggregate limit of \$300,000,000. (For more information, visit: [www.stifel.com/disclosures/asset-protection](http://www.stifel.com/disclosures/asset-protection).) This coverage does not protect against market losses and does not cover securities not held by Stifel.

**LIBOR Transition** – Stifel is preparing for the discontinuation of LIBOR (the London Interbank Offered Rate), a key benchmark rate, to new alternative rates. Regulators have called for a market-wide transition away from LIBOR. Certain investments you hold might use LIBOR as a benchmark. You should prepare for LIBOR to be discontinued and understand how this change may impact your investments. We have established a webpage as a resource for clients containing important information, including risks and disclosures regarding the LIBOR transition at [www.stifel.com/disclosures/LIBOR-21](http://www.stifel.com/disclosures/LIBOR-21).

**Margin Accounts** – If you have a margin account, this is a combined statement of your margin account and special memorandum account (“SMA”) maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the (“SMA”) as required by Regulation T is available for your inspection upon request. If you have applied for margin privileges and have been approved, you may borrow money from Stifel in exchange for pledging assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on Regulation T, Stifel’s internal policies, and the value of securities in your margin account. Securities held in a margin account are identified by the word “margin” on your statement. Stifel reserves the right to limit margin purchases and short sales and to alter its margin requirements and due dates for house or other margin calls in accordance with the Firm’s guidelines, market conditions, and regulatory margin requirements.



# STIFEL

## Account Disclosures Continued

**Margin Account Interest Charges** – The margin interest period includes the second to last day of the prior statement period through the third day prior to the last day of the current statement period. The margin interest charge is computed by multiplying the rate of interest by the average net daily settled debit balance and a fraction, the numerator of which is the number of days the debit balance existed, and the denominator of which is three hundred sixty (360). The rate of interest is determined by the cost of borrowing money and is subject to change without notice. The average net daily settled debit balance includes any settled credit and settled debit balances in your cash and margin accounts during the period. Please review the “Statement of Credit Terms” you have already received for further information.

**Fully Paid Lending Participants** – Without waiving any rights given to you, it is understood and agreed that the provisions of the Securities Investor Protection Act of 1970 may not protect the lender with respect to loaned securities hereunder and that, therefore, the collateral held for you may constitute the only source of satisfaction of Stifel’s obligations in the event Stifel fails to return the loaned securities.

**Late Charges** – If transactions in your account result in a debit balance in your cash account and you do not make payment by the settlement date, you may be subject to interest charges.

**Free Credit Balances** – Customer Free Credit Balances may be used in this Firm’s business subject to the limitations of 17CFR Section 240, 15c3-3 under The Securities Exchange Act of 1934. You have the right to receive from us in the course of normal business operations, upon demand, the delivery of: a) any Free Credit Balances to which you are entitled, b) any Fully-Paid Securities to which you are entitled, c) any Securities purchased on margin upon full payment of any indebtedness to us. If you participate in Cash Management Accounts, the payment to you of a Free Credit Balance may be subject to the cancellation of any commitment made in respect to your account for the payment of checks, automated clearing house (ACH) payments, ATM Card or Point of Sale transaction charges, or other debit card transactions.

**Option Accounts** – 1) Commissions and other charges related to the execution of option transactions have been included on confirmations for such transactions, which have already been sent to you, and copies of confirmations are available upon request; 2) should you have any changes in your investment objective or current financial situation, you should advise your investment professional immediately; and 3) assignment notices for option contracts are allocated among client short positions pursuant to an automated procedure that randomly selects from all client short option positions those contracts that are subject to assignment, which includes positions established on the day of assignment. Additional information pertaining to the procedures used for random selection is available upon request.

**Complaints** – Complaints relating to your account(s) may be directed to Stifel, Legal Department, 501 North Broadway, St. Louis, Missouri 63102 or by phoning (800) 488-0970 or (314) 342-2000.

**Lost Certificates** – In the event your statement indicates that securities were delivered out of your account in certificate form and you have not received them, it is understood that you will notify Stifel immediately in writing. If written notification is received within 120 calendar days after the delivery date, as reflected on your statement, the certificate will be replaced free of charge. Thereafter, a fee for replacement may apply.

**Dividend Reinvestment** – (Optional) The dollar amount of Mutual Fund distributions, Money Market Fund income, or dividends on other securities shown on your statement may have been reinvested into additional shares. You will not receive confirmations for these reinvestment transactions. However, information pertaining to these transactions which would otherwise appear on confirmations will be furnished to you upon written request. In dividend reinvestment transactions, Stifel may act as your agent and receive payment for order flow. The source and nature of such payment will be furnished to you upon written request to Stifel or your introducing firm. If Stifel is currently a market maker in the eligible security, Stifel will purchase, as principal for you, additional shares at the opening market price.

**Stifel Information** – A Statement of Financial Condition of Stifel, Nicolaus & Company, Incorporated is available for your inspection at any of our offices, or a copy will be mailed to you upon request.

**Investor Education and Protection** – Under the Public Disclosure Program, the Financial Industry Regulatory Authority (“FINRA”) provides certain information regarding the disciplinary history of FINRA members and their associated persons via FINRA’s BrokerCheck Hotline (toll-free (800) 289-9999) or on the FINRA website at [www.finra.org](http://www.finra.org), including an investor brochure that includes information describing FINRA BrokerCheck. Stifel, Nicolaus & Company, Incorporated is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board (“MSRB”). Additional information may be obtained from the MSRB website at [www.msrb.org](http://www.msrb.org), including an investor brochure that is posted on the website describing the protections that may be provided by the MSRB rules and how to file a complaint with an appropriate regulatory authority.

**ERISA Section 408(b)(2) Notice** – For Service Provider Fee Disclosures under ERISA 408(b)(2), please see [www.stifel.com/disclosures/ERISA](http://www.stifel.com/disclosures/ERISA). Please direct any questions you may have to your Financial Advisor.

**Notification of Change in Circumstances and Availability of Investment Advisory Disclosure Brochures** – In the event that there are any material changes in your financial situation, investment objective(s), risk tolerance, or instructions regarding your account(s), please promptly report such changes to your Financial Advisor to ensure that your investment advisory accounts are being managed based on the most current information. You should review Stifel’s Form ADV Part 2A (Disclosure Brochure) for information and disclosures relating to Stifel’s investment advisory services (available at: [www.stifel.com/disclosures/investment-advisory-services/program-disclosures](http://www.stifel.com/disclosures/investment-advisory-services/program-disclosures)), including (but not limited to) a discussion of the various conflicts of interest to which our firm may be subject in the provision of investment advisory services to you.



COUNTY OF ORANGE  
**OFFICE OF THE TREASURER-TAX COLLECTOR**  
 Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM  
 P. O. BOX 4515  
 SANTA ANA, CA 92702-4515



[octreasurer.com/publicfunds](http://octreasurer.com/publicfunds)

November 30, 2023

**ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT**

**Fund Number :** [REDACTED]

**NOVEMBER 2023 STATEMENT**

**INVESTMENT BALANCE IN OCIP**

**Transactions**

<u>Transaction Date</u>	<u>Transaction Description</u>	<u>Authorized Signer</u>	<u>Amount</u>
11/01/2023	October 2023 Investment Admin Fee		\$ (76.43)
11/29/2023	August 2023 Interest Paid		\$ 5,928.75

**Summary**

Total Deposit:	\$	5,928.75	Beginning Balance:	\$	1,793,004.21
Total Withdrawal:	\$	(76.43)	Ending Balance:	\$	1,798,856.53

# California State Treasurer *Fiona Ma, CPA*



Local Agency Investment Fund  
P.O. Box 942809  
Sacramento, CA 94209-0001  
(916) 653-3001

December 13, 2023

[LAIF Home](#)  
[PMIA Average Monthly Yields](#)

ORANGE COUNTY VECTOR CONTROL DISTRICT

DIRECTOR OF ADMINISTRATIVE SERVICES  
13001 GARDEN GROVE BLVD  
GARDEN GROVE, CA 92843

[Tran Type Definitions](#)

Account Number: XXXXXXXXXX

November 2023 Statement

### Account Summary

Total Deposit:	0.00	Beginning Balance:	16,014,289.85
Total Withdrawal:	0.00	Ending Balance:	16,014,289.85



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 18, 2024

## AGENDA REPORT

### AGENDA ITEM: F.4

**Prepared By:** Tawnia Pett, Executive Assistant/Clerk of the Board  
**Submitted By:** Lora Young, District Manager

### Agenda Title:

Adopt Resolution No. 569 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Works

### Recommended Action:

Adopt Resolution No. 569 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Works

### Executive Summary:

The Board of Trustees passed Resolutions No. 291 on September 15, 2005, and No. 453 on September 21, 2017, authorizing relief efforts for Hurricane Katrina and Hurricane Harvey, respectively. When Resolution No. 453 was passed, Trustees asked that a future resolution be brought to the board to allow for mosquito and vector control assistance to agencies that are affected by any natural disaster falling within in the year. This is that resolution for 2024. The Health and Safety Code Section 2041, Subsection (d) provides the District with the authority to donate surplus personal property to any public agency; Section 2044 provides that the District may cooperate with any public agency or federal agency to carry out the purposes and intent of the vector law; and Section 2045 provides that the District may agree to provide services within other public agency boundaries and federal agency boundaries.

In case the need arises, the District would like the authority to assist in the relief effort in any area that needs assistance. The District Manager would like to receive Board approval to support relief efforts. The District Manager would report back to the Board on the nature of the District's support of the relief effort at a future Board meeting. It is understood that any support by the District should not interfere with the District's basic mission and must be eligible for reimbursement by FEMA.

### Strategic Plan Compliance:

This action is in compliance with PRIORITY AREA 1: Collaboration and Engagement with Partner Agencies, Stakeholders, and the Public.

**Fiscal Impact:**

**What Amount is being requested?** N/A

**Is the Amount Requested Budgeted in the Current Fiscal Year?**

**If No, What Funds Are Requested?**

**Previous Relevant Board Actions for This Item:**

Resolution No. 291 Authorizing the Deployment of Vector Personnel and Resources for Hurricane Katrina Relief Efforts (September 2005)

Resolution No. 453 Authorizing the Deployment of Vector Personnel and Resources for Hurricane Harvey, Irma, and Maria Relief Efforts (September 2017)

Resolution No. 460 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts (January 2018)

Resolution No. 472 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts (January 2019)

Resolution No. 487 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts (January 2020)

Resolution No. 506 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts (January 2021)

Resolution No. 519 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts (January 2022)

Resolution No. 552 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts (January 2023)

**Exhibits:**

**Exhibit A:** Resolution No. 569 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Efforts

**RESOLUTION NO. 569**

**A RESOLUTION OF THE BOARD OF TRUSTEES OF THE  
ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT**

**AUTHORIZING THE DEPLOYMENT OF VECTOR PERSONNEL AND RESOURCES FOR  
NATURAL DISASTER RELIEF EFFORTS**

WHEREAS, Natural disasters can inflict a terrible toll of death, human misery, and property damage on the states and regions affected; and

WHEREAS, there is a substantial probability that persons in those areas will now be subjected to vector-borne diseases and discomforts; and

WHEREAS, the Board of Trustees does hereby desire to authorize the District Manager to send manpower and resources to the area to combat such conditions and to learn from the experience in case Orange County is subjected to a similar natural disaster; and

WHEREAS, the Health and Safety Code Section 2041, subsection (d) provides the District with the authority to donate surplus personal property to any public agency; and

WHEREAS, Health and Safety Code Section 2044 provides that the District may cooperate with any public agency or federal agency to carry out the purposes and intent of the vector law and may jointly operate programs with those agencies to carry out those purposes; and

WHEREAS, the sending of such personnel and resources would serve a public purpose;

NOW, THEREFORE, the Board of Trustees of the Orange County Mosquito and Vector Control District does hereby RESOLVE as follows:

1. That the above recitals are true and correct and authorizes the District Manager to send District personnel and resources to the disaster area consistent therewith.
2. That the District Manager's actions are to be reported to the Board and formally ratified by the Board at a future Board meeting.



PASSED, APPROVED, and ADOPTED by the Board of Trustees of the Orange County Mosquito and Vector Control District at its regular meeting thereof held on the 18<sup>th</sup> day of January 2024, at 13001 Garden Grove Blvd., Garden Grove, California, 92843.

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, President

I hereby certify that the foregoing Resolution was duly adopted by the Board of Trustees of the Orange County Mosquito and Vector Control District at a regularly scheduled meeting, held on January 18, 2024:

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, Secretary

APPROVED AS TO FORM:

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Alan R. Burns, District Counsel



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 18, 2024

## AGENDA REPORT

### AGENDA ITEM H.4

**Prepared By:** Tawnia Pett, Executive Assistant/Clerk of the Board  
**Submitted By:** Lora Young, District Manager

### Agenda Title:

Trustee Terms of Office to Expire on January 1, 2024 at 11:59 AM

### Recommended Action:

Receive and File

### Executive Summary:

The following Trustee terms of office will expire on January 1, 2024 at 11:59 AM.  
**Bolded City/County has appointed a representative:**

Trustee Representative	Governing Body	Date of Appointment
<b>Carlos Leon</b>	<b>Anaheim</b>	<b>December 12, 2023 4 Yr Term</b>
<b>Cecilia Hupp</b>	<b>Brea</b>	<b>November 7, 2023 4 Yr Term</b>
<b>Bonnie Peat</b>	<b>Cypress</b>	<b>January 8, 2024 2 Yr Term</b>
<b>Kim Constantine</b>	<b>Fountain Valley</b>	<b>December 19, 2023 2 Yr Term</b>
Fred Jung	Fullerton	January 16, 2024
<b>Pat Burns</b>	<b>Huntington Beach</b>	<b>December 19, 2023 2 Yr Term</b>
<b>Tammy Kim</b>	<b>Irvine</b>	<b>January 9, 2024 2 Yr Term</b>
<b>Debbie S. Baker</b>	<b>La Palma</b>	<b>December 12, 2023 2 Yr Term</b>
<b>Erica Pezold</b>	<b>Laguna Hills</b>	<b>January 9, 2024 2 Yr Term</b>
<b>Robert Pequeño</b>	<b>Lake Forest</b>	<b>December 19, 2023 4 Yr Term</b>
<b>Tanya Doby</b>	<b>Los Alamitos</b>	<b>December 18, 2023 2 Yr Term</b>
<b>Bob Ruesch</b>	<b>Mission Viejo</b>	<b>December 12, 2023 2 Yr Term</b>
<b>Jon Dumitru</b>	<b>Orange</b>	<b>November 14, 2023 2 Yr Term</b>
<b>Steve Knoblock</b>	<b>San Clemente</b>	<b>December 5, 2023 2 Yr Term</b>
<b>Nelida Mendoza</b>	<b>Santa Ana</b>	<b>November 21, 2023 2 Yr Term</b>
<b>Rebecca Gomez</b>	<b>Tustin</b>	<b>December 5, 2023 4 Yr Term</b>
Crystal Miles	Villa Park	January 23, 2024

### Strategic Plan Compliance:

Complies with PRIORITY AREA 1: Collaboration and Engagement with Partner Agencies, Stakeholders, and the Public, GOAL 1.2: Improve public awareness and information sharing.

**Fiscal Impact:**

**What Amount is being requested?** N/A

**Is the Amount Requested Budgeted in the Current Fiscal Year?**

**If No, What Funds Are Requested?**

**Previous Relevant Board Actions for This Item:**

**Exhibits:**



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 18, 2024

## AGENDA REPORT

### AGENDA ITEM H.5

**Prepared By:** Tawnia Pett, Executive Assistant/Clerk of the Board  
**Submitted By:** Lora Young, District Manager

**Agenda Title:**  
Board of Trustee Committee Assignments for 2024

**Recommended Action:**  
Select your committee choices for 2024.

**Executive Summary:**  
Each year, at the installation of new Board Officers, the Board Committees are reconstituted. Enclosed with the agenda is a questionnaire and committee function sheet. Please select your first, second, and third choice for committee on which you would like to serve and update the trustee information if anything has changed.  
**Please complete the questionnaire and return to Tawnia Pett no later than January 25, 2024.** New committees will be approved at the February board meeting and will commence upon approval.

**Strategic Plan Compliance:**  
Complies with Priority Area 1: Collaboration and Engagement with Partner Agencies, Stakeholders, and the Public (S); GOAL 1.1 Improve Trustee education, engagement, and advocacy.

**Fiscal Impact:**  
**What Amount is being requested?** N/A  
**Is the Amount Requested Budgeted in the Current Fiscal Year?**  
**If No, What Funds Are Requested?**

**Previous Relevant Board Actions for This Item:**

**Exhibits:**  
**Exhibit A:** Committee Functions List  
**Exhibit B:** Committee Questionnaire



**Orange County Mosquito and Vector Control District  
BOARD OF TRUSTEES COMMITTEE FUNCTIONS**

**BUDGET AND FINANCE COMMITTEE - 9 MEMBERS**

Staff: District Manager Finance Manger

Convenes: As necessary. Meets in spring to deliver Budget recommendation to Board in April.

Scope: The Budget and Finance Committee is responsible for oversight of District financial activities and policies. The Committee, also responsible for monitoring the District's budgets, meets annually on an as needed basis to review the proposed budgets for the upcoming year. The Committee provides comments and direction regarding appropriations and funding levels for these budgets. The Committee also reviews District financial policies and practices such as reserve amounts and fund transfers to insure public review of all financial activities and practices.

**BUILDING, PROPERTY, AND EQUIPMENT COMMITTEE - 7 MEMBERS**

Staff: District Manager Department Heads

Convenes: As necessary

Scope: The Building, Property and Equipment Committee is responsible for oversight of District building, property, and equipment issues. The Committee meets on an as needed basis to review and provide direction regarding short-term and long-term property and building planning; provides recommendations to the Board regarding acquisition, improvements, and disposal of District property. The Committee also reviews equipment and vehicle purchases and/or bids, and provides recommendations to the Board regarding selection and approval of these items.

**EXECUTIVE COMMITTEE - 4 MEMBERS (Board Officers, Past-President)**

Staff: District Manager Legal Counsel

Convenes: As necessary

Scope: The Executive Committee is comprised of the President, Vice President, Secretary, and Past-President of the District. The Executive Committee meets with the other Committees and District Manager on important financial and operational issues.

**OPERATIONS COMMITTEE - 7 MEMBERS**

Staff: District Manager Director of Communications  
Director of Operations Director of Scientific Technical Services

Convenes: As necessary

Scope: The Operations Committee is responsible for oversight of the District's basic operation and special programs. The Committee reviews operational activity reports and provides direction to staff regarding operational issues, policies and procedures, staffing, and budget. The Committee also provides recommendations to the Board on legislative items.

## **POLICY AND PERSONNEL COMMITTEE - 9 MEMBERS**

Staff: District Manager

Director of Human Resources

Convenes: As necessary

Scope: The Policy and Personnel Committee is responsible for oversight of District policies and personnel issues. This Committee meets on an as needed basis to review changes to administrative, policies and procedures, and then provides recommendations to the Board regarding action on these items. The Policy and Personnel Committee annually reviews and provides recommendations regarding the District Manager's contract, and changes to the District's Personnel and Salary Resolution. The Committee also reviews and provides recommendations to the Board regarding new position requests, position reclassifications, position descriptions, and is responsible for hearing and making decisions regarding employee disciplinary appeals.

## **PUBLIC RELATIONS COMMITTEE – 9 MEMBERS**

Staff: District Manager

Director of Communications

Convenes: As necessary

Scope: The Public Relations Committee is responsible for oversight of the District public outreach, education, vector and vector-borne disease education, and overall awareness of the District, and its services. The Committee provides comments and directions on education objectives, and public outreach campaigns and goals. The Committee also reviews request for proposals for outreach related purchases and contracts.

## **NUISANCE ABATEMENT COMMITTEE – 7 MEMBERS**

Staff: District Manager

Director of Operations

Convenes: As necessary

Scope: The Nuisance Abatement Committee has the responsibility to determine if certain properties constitute a nuisance because of vector breeding and authorize certain fines and penalties to be assessed against the property owner(s).

## **LEGISLATIVE COMMITTEE – 9 MEMBERS**

Staff: District Manager

Director of Communications

Convenes: As Necessary

Scope: The Legislative Committee has the responsibility of reviewing, following, and tracking legislation throughout the course of the legislative session that may impact the District.

Committee assignments will be made at the February meeting and commence after approval at the February meeting. Please indicate your first, second, and third choice on the following list of committees. (See attached list for the Committee Functions.)

**Please return the questionnaire to Tawnia Pett by January 25, 2024.**

COMMITTEES

CHOICES

Budget & Finance

1st  2nd  3rd

Building, Property & Equipment

1st  2nd  3rd

Executive

(Board Officers & Past-President)

Nuisance Abatement

1st  2nd  3rd

Operations

1st  2nd  3rd

Policy and Personnel

1st  2nd  3rd

Public Relations

1st  2nd  3rd

Legislative

1st  2nd  3rd

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Trustee Name (Print): \_\_\_\_\_

City: \_\_\_\_\_



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

January 18, 2024

## AGENDA REPORT

### AGENDA ITEM H.6

**Prepared By:** Tawnia Pett, Executive Assistant/Clerk of the Board  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Receive and File 2023 Trustee Attendance Report

#### Recommended Action:

Receive and file

#### Executive Summary:

Each year, at the January board meeting, Trustees are given a report of Trustee attendance through December of the previous year.

#### Strategic Plan Compliance:

This subject matter is not included in the Strategic Plan. However, Trustee attendance is subject to California Government Code Section 1700, Et al.

#### Fiscal Impact:

**What Amount is being requested?** N/A

**Is the Amount Requested Budgeted in the Current Fiscal Year?**

**If No, What Funds Are Requested?**

#### Previous Relevant Board Actions for This Item:

#### Exhibits:

**Exhibit A:** 2023 Board of Trustees Attendance Report



**Orange County Mosquito and Vector Control District  
RECORD OF ATTENDANCE OF THE 2023 BOARD OF TRUSTEES**

Representing	Trustee	First Appointed	Years of Service	Meetings Attended	Total Meetings Held	% of Meetings Attended
Aliso Viejo	Richard Hurt	January 2021	3	29	38	76.32%
Anaheim	Lucille Kring	January 2008	16	173	187	92.51%
Brea	Cecilia Hupp	September 2015	9	77	97	79.38%
Buena Park	Joyce Ahn	January 2023	1	10	10	100.00%
Costa Mesa	William Turpit	January 2021	3	30	34	88.24%
Cypress	Bonnie Peat	January 2023	1	9	10	90.00%
Dana Point	Mike Frost	January 2023	1	1	5	20.00%
Dana Point	John Gabbard	May 2023	1	3	6	50.00%
Fountain Valley	Kim Constantine	January 2022	2	20	23	86.96%
Fullerton	Fred Jung	January 2022	2	20	22	90.91%
Garden Grove	Stephanie Klopfenstein	January 2017	7	61	80	76.25%
Huntington Beach	Michael Posey	January 2017	7	66	80	82.50%
Irvine	Tammy Kim	January 2021	3	30	34	88.24%
La Habra	James Gomez	January 2005	19	196	224	87.50%
La Palma	Debbie Baker	January 2022	2	21	22	95.45%
Laguna Beach	Alexander Rounaghi	January 2023	1	2	3	66.67%
Laguna Beach	Mark Orgill	April 2023	1	6	7	85.71%
Laguna Hills	Erica Pezold	January 2020	4	40	44	90.91%
Laguna Niguel	Rishi Paul Sharma	January 2021	3	26	27	96.30%
Laguna Niguel	Gene Johns	July 2023	1	4	4	100.00%
Laguna Woods	Shari Horne	July 2014	10	99	109	90.83%
Lake Forest	Vladimir Anderson	January 2020	4	28	36	77.78%
Lake Forest	Robert Pequeno	March 2023	1	7	8	87.50%
Los Alamitos	Tanya Doby	February 2022	4	41	43	95.35%
Mission Viejo	Robert Ruesch	January 2018	6	67	67	100.00%
Newport Beach	Joy Brenner	January 2021	3	24	29	82.76%
Newport Beach	Erik Weigand	June 2023	1	3	5	60.00%
Orange	Jonathan Dumitru	January 2022	2	21	22	95.45%
Placentia	Craig Green	January 2017	7	74	79	93.67%
Rancho Santa Margarita	April Josephson	December 2014	9	100	104	96.15%
San Clemente	Steve Knoblock	January 2022	2	15	22	68.18%
San Juan Capistrano	John Taylor	January 2013	7	71	79	89.87%
Santa Ana	Nelida Mendoza	October 2022	2	7	13	53.85%
Seal Beach	Sandra Massa-Lavitt	January 2017	7	66	71	92.96%
Seal Beach	Nathan Steele	March 2023	1	5	8	62.50%
Stanton	Gary Taylor	June 2019	5	47	50	94.00%
Tustin	Rebecca Gomez	January 2021	3	32	34	94.12%
Villa Park	Crystal Miles	January 2020	4	41	44	93.18%

Westminster	Chi Charlie Nguyen	January 2021	3	21	25	84.00%
Westminster	Amy West	January 2023	1	4	9	44.44%
Yorba Linda	Peggy Huang	January 2015	9	87	103	84.47%
County of Orange	Elizabeth Guillen-Merchant	February 2021	3	20	32	62.50%

## Vector of the Month The Roof Rat, *Rattus rattus*

The roof rat (*Rattus rattus*) is the leading cause of rodent problems in Orange County. The Orange County Mosquito and Vector Control District receives several calls from county residents each year about how to control roof rats.

The roof rat is not native to North America but was introduced into the western hemisphere by colonists from Asia and Europe (Old World). The Norway rat (*Rattus norvegicus*) and the house mouse (*Mus musculus*) were also transported into North America from the Old World. With its introduction into North America at major seaports on the east and west coasts, the roof rat quickly adapted to local conditions and spread unchecked across the continent. The roof rat is now found in all major cities in the United States where it has become a significant nuisance and public health threat.

Historically, the roof rat was distinguished by its habits and association with human activity. This close association with humans represents the attribute of a "commensal" species that derives benefit by exploiting the actions of other species.

A typical roof rat reaches a total length of 15 to 18 inches, including the tail, at maturity. Most individuals have large eyes, prominent ears, a scaly tail that is one third longer than the body. Coloration of the fur among individual rats is highly variable with some appearing light brown, others dark brown, and a few nearly jet black. The color of the "belly" fur ranges from white to dark gray or charcoal. Roof rats rarely survive beyond 1 to 2 years of age under favorable conditions. The reproductive potential of this species is phenomenal. Females bear an average of six litters per year with each litter containing from 6 - 8 young demonstrating why this species is capable of rapidly infesting and overwhelming urban neighborhoods.

Roof rats move about urban neighborhoods by using overhead utility lines, interconnecting walls and fences, alley ways, and occasionally underground drains. Their movements are related to foraging and maintaining territories. Roof rats will consume almost all types of food, including backyard



Roof Rat, *Rattus rattus*

fruits and vegetables, seeds and nuts, dog and cat food, and garden snails. Their foraging habits frequently cause structural damage and loss of personal property. It is not uncommon for the wiring of the family car to be gnawed, stripped, or severed.

Roof rats carry a variety of disease agents that affect humans. Outbreaks of bubonic plague during the "Dark Ages" were attributed to poor sanitation, abundant populations of "urban" rats, and heavy flea infestations on both roof and Norway rats. Today, plague does not pose a significant health risk to the residents of large metropolitan areas. The disease is largely restricted to rural settings where domestic cats, infected by wild rodents (e.g., ground squirrels), are becoming increasingly involved with pneumonic transmission to humans. Orange County residents are fortunate because existing ecological conditions apparently do not support a consistent and detectable level of plague activity. This is perplexing as most communities in suburban habitats support sizable populations of rats, cats, ground squirrels, and fleas. Although infrequent, evidence of bubonic plague, Salmonella bacteria and Seoul (hantavirus) virus has been found in roof rats by the OCMVCD laboratory.

## PMPs Weigh in on Rat Baiting

Fifty-seven percent of those who responded to PCT's recent reader poll question said peanut butter was their top choice for baiting rats, followed by bacon (24 percent), dried fruit (14 percent) and chocolate syrup (5 percent).



BRAD HARBISON | JUNE 02, 2023

When it comes to rat baiting, there is no one-size-fits-all solution. PMPs use everything at their disposal and let the situation dictate the bait they choose.

Chris Caddel, director of operations for Black Pest Prevention, Charlotte, N.C., commented on our poll on LinkedIn. “Depends on the rat. Roof rats dried fruit. Norways, beef jerky. Never peanut butter due to allergies.”

Concerns over peanut allergies were expressed by several of those who commented on our poll. Regardless, peanut butter remains a popular choice. According to those who responded to PCT's recent reader poll question, 57 percent said peanut butter was their top choice for baiting rats, followed by bacon (24 percent), dried fruit (14 percent) and chocolate syrup (5 percent).

Mike Peaslee, training/technical director for Modern Pest Services (an Anticimex company), Brunswick, Maine, agreed that rodent baiting is very situational. “You need to take time to investigate the foods being fed on, other food choices that are available to them and experiment with different types of attractants and food type baits for the traps to determine what is the best option for the specific colony you are dealing with,” he said.

Similarly, consultant Dan Collins commented that there is no one answer and reminded that trap placement can be as or more important than the bait on the trap. “Entrenched, long-term rodent populations can be finicky and oftentimes exhibit equipment avoidance and disregard behaviors as noted by Corrigan et. al,” Collins wrote on PCT's LinkedIn page. Collins said inspections and monitoring (i.e., remote sensors, game cameras) help determine optimal trap placement. “Prior to implementing snap traps in the ‘kill position, they should be ‘pre-baited’ with a variety of baits to determine which baits are readily accepted by that particular rat population.”





“This agenda shall be made available upon request in alternative formats to persons with a disability, as required by the American with Disabilities Act of 1990 (42 U.S.C. § 12132) and the Ralph M. Brown Act (California Government Code § 54954.2). Persons requesting a disability related modification or accommodation in order to participate in the meeting should contact the Orange County Mosquito and Vector Control District at (714-971-2421), during regular business hours, at least twenty-four hours prior to the time of the meeting.”

"Materials related to an item on the Agenda submitted after distribution of the agenda packet are available for public inspection in the District Office located at Orange County Mosquito and Vector Control District offices, 13001 Garden Grove Blvd., Garden Grove, CA 92843 during normal business hours."